



2010

**FIVE STAR  
Wealth Managers**

*in the Dallas/Fort Worth Area*

2010 INDEPENDENT SURVEY  
OVERALL CLIENT SATISFACTION



*Meet your*

## Dallas/Fort Worth Area 2010 FIVE STAR Wealth Managers.

We surveyed consumers, financial service professionals and TEXAS MONTHLY subscribers to find wealth managers in the Dallas/Fort Worth area who scored highest in overall satisfaction. Here they are.



Well over half of the consumer responses in the Dallas/Fort Worth area indicated it is difficult to find a wealth manager they trust and rely on.<sup>(1)</sup> Wealth managers, broadly defined, are those individuals who help you manage your financial world and/or implement aspects of your financial strategies. Common examples of wealth managers are financial advisors, financial planners, investment advisors, tax advisors, estate planning attorneys, etc.

With more than 20,000 wealth managers<sup>(2)</sup> in the Dallas/Fort Worth area, how do you find someone who listens to you, represents your interests and operates with an emphasis on integrity and service? We can help. TEXAS MONTHLY formed a partnership with Crescendo Business Services to find out which wealth managers scored highest in overall satisfaction.

### The Selection Process

Crescendo administered a survey, by mail and phone, to approximately 1 in 5 high-net-worth households<sup>(3)</sup> (over 160,000 households) within the Dallas/Fort Worth area. An additional 6,000 surveys were sent to local financial services industry professionals.

On the surveys, recipients were asked to evaluate only wealth managers whom they know through personal experience and to evaluate them based upon nine criteria: customer service, integrity, knowledge/expertise, communication, value for fee charged, meeting of financial objectives, post-sale service, quality of recommendations, and overall satisfaction.

Both positive and negative evaluations were included in the scoring. Only wealth managers with five years of experience in the financial services industry were considered.

Next, each wealth manager was reviewed for regulatory actions, civil judicial actions and customer complaints as reported by FINRA, the SEC, the State Board of Accountancy and the State Bar.

Then, before finalizing the list, wealth managers were reviewed by a blue ribbon panel comprised of individuals from within the financial services industry. Although panelist comments were incorporated into the final score, safeguards were built into the review process to reduce the ability of panel members to influence the composition of the final list on the basis of company affiliation.

### An Elite Award

The resulting list of 2010 FIVE STAR Wealth Managers is an elite group, representing less than 3 percent of the wealth managers in the Dallas/Fort Worth area. Only 608 of the top-scoring wealth managers made this year's list. For a more user-friendly listing, wealth managers have been grouped based upon their primary financial service. Each wealth manager has also listed up to three additional financial services that they provide their clients.

Although this list will certainly be a useful tool for anyone looking for help in managing their financial world or implementing aspects of their financial strategies, it should not be considered exhaustive. Undoubtedly, there are many other excellent wealth managers who, for one reason or another, are not on this year's list.

### RESEARCH DECLARATIONS:

As with any research or recognition program, it is important that we provide you the following declarations:

- The 2010 FIVE STAR Wealth Managers do not pay a fee to be included in the research or the final list of FIVE STAR Wealth Managers.
- The overall evaluation score of a wealth manager reflects an average of all respondents and may not be representative of any one client's experience.
- The FIVE STAR Award is not indicative of the wealth manager's future performance.
- Wealth managers may or may not use discretion in their practice and therefore may not manage their clients' assets.
- The inclusion of a wealth manager on the FIVE STAR Wealth Manager list should not be construed as an endorsement of the wealth manager by Crescendo Business Services or TEXAS MONTHLY.
- Working with a FIVE STAR Wealth Manager or any wealth manager is no guarantee as to future investment success nor is there any guarantee that the selected wealth managers will be awarded this accomplishment by Crescendo in the future.
- The research process for the FIVE STAR Wealth Manager Program, managed by QMI Research, incorporates a statistically valid sample in order to identify the wealth managers in the local market that score highest in overall satisfaction. QMI Research does not include wealth managers on the list unless their score is statistically valid. At least 50 percent of the wealth managers in the market have a statistically valid score.

For more information on the FIVE STAR Award and the research/selection methodology, go to: [fivestarpromotional.com/wmresearch](http://fivestarpromotional.com/wmresearch).

<sup>(1)</sup> 2009 Consumer Survey, QMI Research

<sup>(2)</sup> FINRA registered representatives, IARs, CPA's and attorneys that provide estate planning and trust services

<sup>(3)</sup> Defined as the upper 1/3 of all households based on net-worth



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BUSINESS PLANNING	ESTATE PLANNING	ESTATE PLANNING	ESTATE PLANNING	FINANCIAL PLANNING
Joe Chenoweth Ackley Financial Group EP, FP, IV	Marvin Blum The Blum Firm BP, TX	Allan Howeth Cantey Hanger CG, TX	Sol Reifer Wright Ginsberg & Brusilow BP	Crystal Arredondo AXA Advisors BP, CG
LeeAnn Collins Lane Gorman Trubitt TX	Karl Bryant Walker & Doepfner BP, CG, TX	Jerry Jackson Jerry B. Jackson FP, IV, TX	Mark Remington Law Offices of Mark A. Remington BP, TX	Keith Ashley North Texas Money Management BP, EP, IV
Ryan Hill Nexus Advisors EP	Thomas Cantrill Hunton & Williams BP, CG, TX	Michael Kaufman Jackson Walker BP, CG, TX	Charles Sharpe Sharpe & Associates CG, TS	Jayson Bales Clermont Capital- Spectrum Financial BP, EP, IV
Robert Kroney Kroney Morse Lan EP, TX	Michael Cohen Michael Cohen Attorney at Law FP	David Krueger Kroney Morse Lan BP, TX	Eric Vincent Vincent Investment Management CG, FP, IV	Douglas Bartol Money Matters IV
Ramona Locke Merrill Lynch FP, IV, TS	John Collins Haynes and Boone BP, CG, TX	Stephen Livens Wealth Planning Center BP, FP, TX	Robert J. Widmer, Jr. Robert J. Widmer, Jr. BP, CG, TX	Kris Beaulieu Clarity Financial Group IV
Taylor Manning MetLife EP	<b>Russell Duncan Adams, Bennett &amp; Duncan Attorneys at Law</b>	Jay McCluskey New York Life Insurance Company	Linda Wiland Blankenship, Wiland & O'Connor	<b>Kalita Beck Blessing Quest Capital Management</b>
Matthew Poston State Farm Insurance EP, FP, IV	Tom Finley Bell Nunnally & Martin BP, CG, TX	Henry McFadyen McFadyen Law Firm TS	Tracy Wood A.C. Wood & Associates BP, CG	Kirk Bell Raymond James Financial IV
David Pratt Kane Russell Law Firm IV	Bill Gammill Stonebriar Financial Group IV	Edward Mercer Burds Reed & Mercer BP, TX	Jeff Yates Albin Harrison Roach Attorneys at Law TS	Howard K. Bellet Ameriprise Financial CG, EP, IV
Daryl Robertson Hunton & Williams	H. Crawford Gates Sagemark Consulting BP, FP, IV	<b>Jan Mohamed Confident Vision BP, FP, IV</b>	<b>FINANCIAL PLANNING</b>	Jeff Bellinghausen Ameriprise Financial BP, EP, IV
Stephen M. Scheller Scheller Financial Services FP, IV, TX	Gerald Geary, Jr. G. Michael Geary BP	Robert Moses NFP Securities FP	John Ackley Ackley Financial Group BP, EP, IV	<b>Robert Berg Carter Financial Management IV</b>
Michael Weaver Ackley Financial Group EP, FP, IV	<b>Wesley A. Goenawein, Jr. Law Offices of Wesley A. Goenawein BP, TX</b>	George Nachman George J. Nachman Attorney at Law	Clint Adams Cotton-Adams Financial Group/LPL Financial EP, IV, TS	<b>Michelle Berry Raymond James Financial BP, EP, IV</b>
<b>ESTATE PLANNING</b>	<b>Mike Goodrich Bluffview Wealth Management BP, CG, IV</b>	Keith Novick Gardere Wynne Sewell BP, CG, TX	Laura Addington Addington Financial Group IV	<b>Ricky Bingham MetLife BP, EP, IV</b>
Christopher Abel Ameriprise Financial FP, IV	Ronald Grant Ronald E. Grant Attorney at Law	Dianna Parker Lincoln Financial Advisors BP, FP	George B. Alden, Jr. Eagle Strategies BP, EP, IV	Noel Blaas Principal Financial Services
Steve Akers Bessemer Trust BP, CG, TX	<b>Brian Hall Barnett McNair Hall TS, TX, BP</b>	Ken Paxton W. Kenneth Paxton, Jr. Attorney & Counselor BP, CG, TX	<b>Walt Anthony Southwest Securities FP, IV</b>	Randy Black Spectrum Financial Group EP, IV
Todd Amacher Robertson, Grieg & Thoele CG, FP, TX	J. Dan Heard WFG Investments BP, FP, IV	Susan Periquet Susan Whitson Periquet Attorney at Law	Raymond Armstrong Morgan Stanley Smith Barney EP, IV	Brian Blake UBS Financial Services IV
David Bird Bird & Skibell BP	David Hendricks David P. Hendricks BP, CG, TX	Steven Phelps Steven H. Phelps BP, TS		
Stephen Blum Strategic Wealth Planning BP, FP, IV				



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FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING
Steve Blankenship Heritage Financial Planning EP, IV	<b>Richard Byrne</b> <b>UBS Financial Services</b> <b>EP, IV</b>	Steve Cotton Cotton-Adams Financial Group/LPL Financial CG, EP, IV	Tammie Dunn Walker Ameriprise Financial/ Walker & Associates EP, IV	Joe Foster Foster Financial Group CG, EP, IV
Anna Blekher Ameriprise Financial IV	<b>Michelle Calabro</b> <b>RBC Wealth Management</b> <b>EP, IV</b>	Philip A. Crew Morgan Stanley Smith Barney EP, IV, TS	<b>Mary Durie</b> <b>Quest Capital Management</b> <b>CG, EP, IV</b>	Mike Franklin The Asset Preservation Group IV
<b>Jerry Boda</b> <b>Boda Financial Group</b> <b>IV</b>	Dusti Calander Ameriprise Financial EP, IV, TS	Michael Crews Ricker Retirement Specialists EP, IV, TX	Tom V. Dwyer Financial Design Group BP, EP, IV	Patrick Freeman Freeman Wealth Management EP, FP, IV
Lisa Bordelon Morgan Keegan & Company EP, IV, TS	Gary Carby UBS Financial Services IV	Carl Cuff The Ayco Company EP, IV, TX	<b>Charles Elhoff</b> <b>Elhoff Financial Counseling</b> <b>BP, EP, IV</b>	E. Stephen Freeman BFT Financial Group EP, IV
Erin Botsford The Botsford Group EP, IV, TS	Dennis Carpenter International Wealth Mangement CG, EP, IV	Christopher Currin Pegasus Advisors IV, TX	Chip Eubank Eubank Hutson & Associates EP, IV	Ted Frye Morgan Keegan & Company EP, IV, TS
Jason Bottenfield Morgan Stanley Smith Barney IV	Holly Carroccio Nexus Advisors BP, EP, IV	Bryce Danley Danley and Associates/ Ameriprise Financial EP, IV, TX	Joann Eubank Ameriprise Financial	Rebecca Gadus UBS Financial Services BP, EP, IV
Jimmy Bowers Morgan Stanley Smith Barney EP, IV	<b>Bill Carter</b> <b>Carter Financial Management</b> <b>EP, FP, IV</b>	Brandon Day Martin Financial Group BP, EP, IV	<b>Art Fahim</b> <b>Ameriprise Financial</b> <b>EP, IV</b>	Jaime Galvan Spectrum Strategies BP, EP, IV
Karen Boykin Boykin Financial Group a member of Ayres Financial Group BP, EP, TX	Travis Carter Insight Wealth Partners EP, IV	Lawrence DeRamus DeRamus Wealth Management IV, TS	Michael Felker Ameriprise Financial IV	<b>Frances Gardner</b> <b>Ayres Financial Group</b> <b>BP, EP, IV</b>
Martin Brady Stevens Financial Group BP, EP, IV	Christopher Cervantes Merrill Lynch EP, IV, TX	E. Kim Dignum Dignum Financial Partners CG, IV, TX	<b>Christopher Fess</b> <b>Charter Financial</b> <b>Consultant</b> <b>EP, IV, TX</b>	<b>Gregory D. Gardner</b> <b>The Gardner Group</b> <b>IV</b>
Debra Brennan Tagg Brennan Financial Services CG, EP, IV	John Checki, Jr. Securities America EP, IV	John Donovan Lincoln Financial Advisors BP, EP, IV	Richard Fielder Morgan Stanley Smith Barney IV	Carlos Garza, Jr. First Command Financial Planning FP, IV, TS
Sean Brown Ameriprise Financial IV	Howard Chen Ameriprise Financial BP, EP, IV	Patrick Dougherty Dougherty Wealth Management EP, IV	Mark Fields EstateMark Financial CG, EP, IV	Christina Gears Creating & Managing Wealth EP, IV, TS
Lynn Bryant LPL Financial EP, IV	<b>Guy Church</b> <b>Ameriprise Financial</b> <b>BP, IV, TX</b>	<b>Terry Doyle</b> <b>Doyle &amp; Associates/ Ameriprise Financial</b> <b>EP, IV, TX</b>	Mark Fillnow Ameriprise Financial EP, IV, TX	<b>Roger Gekiere</b> <b>Navigation Financial</b> <b>Group</b> <b>BP, EP, IV</b>
Bradley Burnfield Ameriprise Financial EP, IV	Ray Clark Ameriprise Financial EP, IV	Andrew Dropkin Waldman Brothers CG, EP, IV	<b>Mark R. Finley</b> <b>The Finley Agency</b> <b>BP, EP, IV</b>	<b>Joseph Giangiulio</b> <b>NettWorth Financial</b> <b>Group</b> <b>IV</b>
Buddy Butler Butler Investment Group BP, EP, TX	Greg Clifton Clifton Capital Partners EP, IV	David Dryden Insight Wealth Partners EP	Tiffany Finney-Johnson JWA Financial Group CG, IV	Brandon Gibson Gibson Wealth Management CG, EP, IV
	Howard Cohen Waldman Brothers CG, EP, IV		<b>Jean Ann Fisher</b> <b>UBS Financial</b> <b>Services</b> <b>EP, IV, TS</b>	
	Scott Cohen Waldman Brothers CG, EP, IV			





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FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING
Allen Gibson Blekher, Radeke & Gibson/Ameriprise Financial EP, IV, TS	<b>Steven Hagemann</b> <b>Navigation Financial</b> <b>Group</b> <b>BP, EP, IV</b>	Steve Jenkins The Asset Preservation Group EP	Brent Little Odyssey Wealth Management BP, IV, TX	Charla McIntyre-Fields Ameriprise Financial EP, IV
<b>DeLene Gilbert</b> <b>Evos/Evolutionary</b> <b>Financial Strategies</b> <b>IV</b>	Scott Hammel Ameriprise Financial IV	Diana Jenkins Raymond James Financial IV	Reagan Lorenzen Lincoln Financial Advisors EP, IV	Doug McKelvey Morgan Stanley Smith Barney BP, IV
Roger Glass Martin Financial Group BP, EP, IV	Dylan Harig AXA Advisors BP, EP, IV	Adam Keller Ameriprise Financial BP, EP, IV	Bill Lourcey Walton & Lourcey Financial Strategies IV	Mathew R. McKinney Arcon Wealth Management CG, EP, IV
Donald Goerner Spectrum Advisors BP, IV	<b>Curtis Harris</b> <b>Evos</b> <b>BP, EP, IV</b>	Glenda Kemple Kemple Capital EP, IV, TS	<b>Lloyd Lowe</b> <b>LD Lowe Sr. Financial</b> <b>Advisory</b> <b>BP, CG, EP</b>	Stewart McLaughlan Ameriprise Financial EP, IV, TS
Alan Goldfarb Weaver Wealth Management BP, EP, IV	<b>Charles Hart</b> <b>VSR Financial</b> <b>Services</b> <b>EP, IV, TX</b>	Sean Kernan LPL Financial	Tim Lowry Dean Jacobson Financial Services EP, IV	Greg McMurdie Shea & McMurdie Financial IV
David Goodrich Goodrich Financial Services BP, EP, IV	James Harvey III Morgan Stanley Smith Barney EP, IV	Scott Kimmel Principal Financial IV	Dave Luellen The Ayco Company/ Goldman Sachs & Company EP, IV, TX	David Meadors Morgan Stanley Smith Barney EP, IV, TS
Matthew Gournay Legacy Planning Group EP, IV	C. Chris Hatton Money Matters with Ken Moraif IV	Randall King King Financial Partners EP, IV, TX	Henry Luskey Morgan Stanley Smith Barney IV	Michael Menefee Morgan Keegan & Company EP, IV
Kevin Gray The Ayco Company EP, IV, TX	Stephen Heffley Ayco Company EP, IV, TX	<b>Derrick Kinney</b> <b>Ameriprise Financial</b> <b>BP, CG, IV</b>	Richard Martin Martin Financial Group BP, EP, IV	Robert Messett Messett Financial BP, EP, IV
Patrick Grayson UBS Financial Services EP, IV, TS	Michael Hemp Stratford Consulting CG, EP, IV	<b>TJ Kroehle</b> <b>Income by Design</b> <b>EP, IV, TX</b>	Larry Martin Larry Martin Insurance Agency IV	<b>Daniel Meyerovitz</b> <b>Financial Planning</b> <b>Associates</b> <b>BP, EP, IV</b>
Todd Green Perennial Financial Solutions BP, EP, IV	<b>Darren Hill</b> <b>Morgan Stanley</b> <b>Smith Barney</b> <b>IV</b>	Carl Kunhardt Quest Capital Management EP, IV	Peggy Mashburn State Farm Insurance	<b>Darryl Meyerovitz</b> <b>Financial Planning</b> <b>Associates</b> <b>BP, EP, IV</b>
Craig Greenway SFMG Wealth Management EP, IV, TX	Lora Hoff IPI Wealth Management BP, IV	<b>Travis Ladner</b> <b>Ameriprise Financial</b> <b>EP, IV, TX</b>	<b>Gregory Matthews</b> <b>Matthews Financial</b> <b>Services</b> <b>BP, EP, IV</b>	Tony Milburn UBS Financial Services IV
M. Merrill Greer Ameriprise Financial BP, EP, IV	James Houston Ameriprise Financial BP, EP, IV	<b>John Ledford</b> <b>Morgan Stanley</b> <b>Smith Barney</b> <b>BP, EP, IV</b>	<b>Bill Matthews</b> <b>Matthews Financial</b> <b>Services</b> <b>EP, IV, TS</b>	Marcia Miller Ameriprise Financial BP, IV, TS
Don Grogan New York Life BP, EP, IV	Jeremy Hudson Howard Financial EP, IV, TX	Richard Lee Lee Financial Corporation IV	<b>Walter C. "Chip" May</b> <b>May Financial</b> <b>Solutions</b>	Michael Mills Mike Mills Wealth Management BP, CG, EP
Steven Gundy GS Wealth Management IV	Dewey Isom Raymond James & Associates IV, TS	Charles Lee Ameriprise Financial EP, IV	Monica McGill UBS Financial Services EP, IV	Cyndy Montgomery Red Oak Financial Group/Lincoln Financial Advisors EP, IV
Barton Gunter LPL Financial BP, EP, IV	Christopher Jeffers Stonebriar Financial Group EP, IV	<b>Woody Levinson</b> <b>LS Wealth Strategies</b> <b>BP, EP, IV</b>		
		Steve Lindberg Lindberg Financial EP, IV		



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FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING	FINANCIAL PLANNING
<b>Greg Morgan</b> <b>SFMG Wealth</b> <b>Advisors</b> <b>BP, EP, IV</b>  Ed Morris Munn & Morris/ Raymond James IV  <b>Mike Morrison</b> <b>Centaurus Financial</b> <b>IV</b>  Brent Morton First Financial Equity Corporation EP, IV  Regis Scott Moxley Smith Anglin Financial EP, IV, TX  Mike Moynihan Signal Securities IV  <b>Kathy Muldoon</b> <b>Carter Financial</b> <b>Management</b> <b>CG, IV</b>  Scott Mulloy Ameriprise Financial EP, IV  Thomas Murphy Temaa Financial BP, EP, IV  Ralph Neal R.C. Neal & Company EP, IV, TX  Jeremy Newton LPL Financial CG, IV, TS  Donald Noblit Don Noblit Associates EP, IV, TX  John Norwood Morgan Stanley Smith Barney EP, IV, TS  Colleen O'Donnell Lincoln Financial Advisors/Strategic Wealth Partners CG, EP, IV  William Orender Primerica IV	David Osborne SWS Financial Services EP, IV, TS  Jason Palmer Ameriprise Financial EP, IV, TX  Ashley Parks Martin Financial Group BP, FP, IV  <b>David Patterson</b> <b>Evos</b> <b>BP, EP, IV</b>  Robin Pearce-Rodysill Ameriprise Financial BP, EP, IV  M. Lance Phillips Optima Wealth Management EP, IV  Tim Philpot Preservation Financial CG, EP, IV  Dana Pingenot Lee Financial Corporation BP, CG, EP  Kevin Pittman Ameriprise Financial EP, IV, TX  Herbert Poledna Ameriprise Financial EP, IV  <b>Don Pope</b> <b>Raymond James &amp;</b> <b>Associates</b> <b>IV</b>  David Quick Hearthstone Financial EP, IV  Philip Radeke Ameriprise Financial EP, IV  Clark D. Randall Lincoln Financial Advisors EP, IV  <b>James Rees</b> <b>Stoneway Financial</b> <b>IV</b>  Micky Reeves JWA Financial Group CG, EP, IV	David Rhodes Lincoln Financial Advisors BP, EP, IV  Tom Ricks ETEX Financial BP, EP, IV  Darrell Roberts Ameriprise Financial BP, EP, IV  <b>Craig C. Rogers</b> <b>Rogers Capital</b> <b>Management</b> <b>EP, IV, BP</b>  Burk Rosenthal Rosenthal Retirement Planning EP, IV  James D. Sandfort Merrill Lynch BP, EP, IV  <b>Bill Saplicki</b> <b>LS Wealth Strategies</b> <b>BP, EP, IV</b>  Susan Schildt Stratford Consulting CG, EP, IV  Jeffrey Schmeltekopf Dean Jacobson Financial Services CG, EP, IV  Paul Schmitz Morgan Stanley Smith Barney EP, IV  Susan Seiter Morgan Stanley Smith Barney CG, EP, IV  Shashin Shah SGS Wealth Management EP, IV, TX  Roger Shake Legacy Consulting Group CG, EP, IV  William Shea Shea & McMurdie Financial EP, IV	A. Michael Sheff Morgan Stanley Smith Barney EP, IV, TS  Kyle Shores The Botsford Group EP, IV  Brent Sikes Milestone Planning Partners BP, IV  Michael Slayton Texas Financial Group IV  <b>Michael Smith</b> <b>John Hancock</b> <b>Financial Network</b> <b>BP, EP, IV</b>  Shawn Smith Smith & Associates/ Ameriprise Financial EP, IV  Wayne Smith III Insight Wealth Partners BP, EP, IV  Jennifer Snee Ameriprise Financial IV  Ted Snow Snow Financial Group BP  Dara Southivong Mass Mutual Financial Group BP, IV  Mark Stegman Ameriprise Financial IV  Robert Straight UBS Financial Services IV  Lester Strait Strait Financial Corporation BP, IV, TX  Scott Stratton GS Wealth Management BP, EP, IV  Sandra Strohmeier Ameriprise Financial EP, IV	<b>Viktor Szucs</b> <b>Quest Capital</b> <b>Management</b> <b>BP, EP, IV</b>  Sammy Tally BFT Financial Group CG, EP, IV  Michael Tannery Tannery & Company Wealth Management BP, EP, IV  Darrell Tate Tate Financial Group EP, IV  R. Jeff Taylor Ameriprise Financial BP, EP, IV  Trey Taylor Leap Wealth Management EP, IV, TS  William Taylor Cypress Wealth Management IV  Brian Tillotson Virtus Wealth Management CG, EP, IV  Early Van Cleve Southwest Securities EP, IV  Kyli Vardeman Ameriprise Financial EP, IV, TX  Neal Vasso Vasso & Associates/ Ameriprise Financial EP, IV, TX  Phil Vick Sovereignty Wealth Advisors BP, EP, IV  Walter Virden III Virden Chapman & Virden EP, IV, TX  Steven Wachs Legacy Consulting Group CG, EP, IV



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FINANCIAL PLANNING	FINANCIAL PLANNING	INVESTMENTS	INVESTMENTS	INVESTMENTS
Michael Walden Ameriprise Financial EP, IV	Eric Wolfe Ameriprise Financial CG, EP, IV	Donald W. Bailey Merrill Lynch EP, FP, TS	Bob Brooks Prudent Money Financial Services BP, EP, FP	Lois Cartwright Merrill Lynch CG, FP, TS
Larry Wallace Securities America CG, EP, IV	<b>John Wolverton</b> <b>Navigation Financial Group</b>	Mark Baker RBC Wealth Management EP, FP	<b>J. Kurt Brown</b> <b>RBC Wealth Management</b> <b>CG, FP, TX</b>	Shack Cashen Cashen Investment Advisors
Scott Wallace Patton Boggs BP	<b>Chris S. Young</b> <b>Quest Capital Management</b> <b>BP, EP, IV</b>	David Barnes Credit Suisse CG, EP, FP	Ken Brown Maplewood Investment Advisors FP	Michael Castillo Northern Trust EP, FP, TS
Kyle Walters Ameriprise Financial BP, CG, IV	<b>E.W. Woody Young</b> <b>Quest Capital Management</b> <b>BP, CG, IV</b>	Paul R. Bateman Ameriprise Financial EP, FP, TX	Rick Brown R L Brown Financial FP, TX	Richard Cather Merrill Lynch EP
Helen Wathen Merrill Lynch EP, IV, TS	Tallie O. Young Tallie O. Young & Associates EP, IV	Pat Beaird Beaird Harris Wealth Management EP, FP, TX	Wayne Bruns Merrill Lynch EP, FP	Bob Chase Ameriprise Financial FP
Sean Weaver Southwest Securities EP, IV	Alfred Zimmerman LPL Financial EP, IV, TX	Terry Beattie UBS Financial Services EP, TS	<b>Henry Bryant, Jr</b> <b>MetLife</b>	Brandon L. Chase Edward Jones
Jennifer Wells Ameriprise Financial CG, EP, IV	<b>INSURANCE</b>	Jack Benson Merrill Lynch	<b>David Burgess</b> <b>Rhodes Securities</b>	Michael Chauvenet Securities America FP
Michael Westbrooks WDW Financial CG, EP, IV	Corey Metz Metz Insurance and Financial BP, EP, FP	Michael Bessire Merrill Lynch CG, EP, TS	<b>Mike Busch</b> <b>Vogel Financial Advisors</b> <b>EP, FP, TX</b>	Dan Chick Edward Jones
Renate Wheeler AXA Advisors EP, IV	<b>INVESTMENTS</b>	Chuck Best Alliance Financial Group	Andrew Butler Trevcore Financial Services BP, CG, FP	Matt Clay Edward Jones
James Whiddon JWA Financial Group IV, EP, CG	Jesse Abercrombie Edward Jones	John "Jack" Birkner Morgan Stanley Smith Barney EP, FP	Ed Butowsky Chapwood Capital Investment Management BP, FP	Milo Cobb, Jr. Morgan Stanley Smith Barney
Stephen White Valic Financial Advisors EP, IV	Bert Adams Wells Fargo Advisors, LLC	Richard D. "BJ" Bjorklund RBC Capital Markets FP	Bill Callis Wells Fargo Advisors, LLC	Carole Cohen Wells Fargo Advisors, LLC
Stan Williams Rampy & Williams Financial & Tax Services BP, IV, TX	Bret Adkins Charles Schwab FP	Tony Blauvelt Edward Jones	Elizabeth Calvert Hickman South Texas Money Management CG, FP	Jeff Conner J.P. Morgan
Chris Williams Professional Bank BP, FP	James Aldendifer Morgan Stanley Smith Barney FP	Brian Bogard Edward Jones	John B. Campanello UBS Financial Services EP, FP, TX	<b>Mischelle Copeland</b> <b>Wells Fargo Advisors, LLC</b>
Chad Williams Doyle & Associates/ Ameriprise Financial EP, IV	Roger Asel Certified Financial Strategies EP, FP, TX	Durward Bolding Edward Jones	Shawn Cantrell UBS Financial Services EP, FP, TS	Robert Cordiak J.P. Morgan
Norman Williams Senior Asset Protection Services EP, IV, TX	Frank Aswad Edward Jones	Ken Box Edward Jones	John Carpenter Merrill Lynch FP	Dodee Crockett Merrill Lynch BP, CG, EP
Terry Williamson Morgan Stanley Smith Barney BP, EP, IV	Belinda Aucoin Trinity Strategy Partners BP, EP, FP	John A. "Pete" Bricker SCM Advisors		Michael Crossley Morgan Stanley Smith Barney EP
	MerryLyn Bagwell Wells Fargo Advisors, LLC	Jeffrey Bridges Morgan Stanley Smith Barney		Michael Dallas Michael Dallas Annuities FP
		Don R. Brock Edward Jones		Matthew Dann Wells Fargo Advisors, LLC


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Gregg Davis Merrill Lynch BP, TS	Dean Ferguson Wells Fargo Advisors, LLC	<b>Bob Guess</b> <b>Senior Financial</b> <b>Services</b> <b>EP, FP, TS</b>	Gary T. Hughes Nick Campbell Financial Group EP, FP	Kerry Knox Merrill Lynch EP, TS
<b>Kevin T. Dickey</b> <b>Morgan Stanley</b> <b>Smith Barney</b> <b>EP, FP, TS</b>	Randy Flink Championship Financial Advisors EP, FP	Dan Gunderson Wells Fargo Advisors, LLC	Gregory Hutcheson Morgan Stanley Smith Barney CG, EP, FP	David Ko UBS Financial Services CG, EP, FP
David H. Diesslin Diesslin & Associates BP, EP, FP	Danny Frazell Legacy Wealth Advisors EP, FP	Tricia Haber Merrill Lynch BP, EP, TS	<b>Robin Hutson</b> <b>Stifel Nicolaus &amp;</b> <b>Company</b> <b>BP</b>	<b>John Kvale</b> <b>J.K. Financial</b> <b>FP</b>
Daniel Dioguardi RBC Capital Markets EP, FP, TS	Michael Gage Wells Fargo Advisors, LLC	Janet Hanna Edward Jones	Dennis Jackson Edward Jones	Hal Lambert Credit Suisse
Elias Dragon Dragon Financial Group EP, FP	Gregory Galbraith Deutsche Bank Securities EP, FP, TS	Monda Hanna Oppenheimer & Company EP, FP	Ross Jeter Educators' Resource Group/Transamerica Financial Advisors FP	Mark Larimore Larimore Financial Group
James Due UBS Financial Services EP, FP, TS	Chris Gann Institutional Securities Corporation BP	Samuel Harrell Morgan Stanley Smith Barney FP	Joseph Jimenez Wells Fargo Advisors, LLC	Kristie Leatherberry Charles Schwab CG, EP, FP
Doug Dyer Money Matters EP, FP, TX	John D. Garcia Edward Jones	David C. Harrison Wells Fargo Advisors, LLC	Douglas Jones Lee Financial Corporation BP	<b>Fredrick Lehman</b> <b>Morgan Stanley</b> <b>Smith Barney</b> <b>EP, FP, TS</b>
Cecil Earlywine Morgan Stanley Smith Barney EP, FP	<b>Wayne Garrett</b> <b>Snow Garrett &amp;</b> <b>Company/LPL</b> <b>Financial</b> <b>BP, FP, TX</b>	Emily Harwood Charles Schwab FP, CG	<b>Montgomery Jones</b> <b>FCM Investments</b>	Brett Levy Merrill Lynch BP
Wendy S. Edwards Wells Fargo Advisors, LLC	John Gesek, Jr. Optimus Capital Advisors FP, TS	Alan Haworth Merrill Lynch	Monty Jones FCM Investments	Johnny Lewis Edward Jones
Phillip Eggers LPL Financial BP, EP, FP	Sheryl Giles Edward Jones	<b>Lura "Beth" Heckert</b> <b>BBVA Compass</b> <b>Investment Solutions</b>	Richard Joyner Tolleson Wealth Management EP, FP, TX	Chris Lewis Lewis Brown FP
Clayton Einspahr Southwest Securities BP, EP, FP	Gregory Glosser RBC Wealth Management FP	<b>Ralph Heffelman</b> <b>ICMC</b> <b>BP, EP, FP</b>	John Kacinski UBS Financial Services FP	Craig Lidell Edward Jones
<b>Stephen Engro</b> <b>Beacon Financial</b> <b>Group</b> <b>CG, EP, FP</b>	Michael Goethals Edward Jones	Brian Hendon Edward Jones	Sheila Kaplan Merrill Lynch CG, EP, TS	Rick J. Link UBS Financial Services EP, FP, TX
Tandy Ervin LPL Financial EP	Damon Gonzalez Domestique Capital FP	John Hetzel JWA Financial Group CG, EP, FP	Tom Karsten Karsten Financial FP, TX	George Lollis Prospera Financial Services BP, CG, FP
Andy Evans RBC Wealth Management EP, FP	William Goodwin US Trust FP	Brian Hobbs Tevis Hobbs Wealth Management EP, FP	<b>Anita Kelley</b> <b>UBS Financial</b> <b>Services</b> <b>CG, FP, TS</b>	Ryan Long UBS Financial Services EP, FP, TS
Brent Everett Talis Advisory Services EP, FP	Stuart Greenfield Greenfield Seitz Capital Management	Robert Holman Edward Jones	Barry Kemball-Cook UBS Financial Services EP, FP, TS	Sid Lorio Lorio Wealth Management EP, FP
Paul Fahrenwald Edward Jones	<b>Stephanie Groschup</b> <b>Wells Fargo Advisors,</b> <b>LLC</b>	Christopher Holtby Midland Wealth Management EP, FP, TS	Mark Kever UBS Financial Services EP, FP, TS	Steven Lugar Beaird Harris Wealth Management CG, EP, FP
		James Hopson Capital Advisory Group FP, EP, TX		<b>Dana Lundquist</b> <b>Allstate Financial</b> <b>Services</b>





INDEX OF WEALTH MANAGERS

List compiled by Crescendo Business Services. Names in boldface also appear in the profiles that follow. Wealth Manager additional financial services:  
AC=Accounting; BP=Business Planning; CG=Charitable Giving; EP=Estate Planning; FP=Financial Planning; IV=Investments; TS=Trust Services; TX=Taxation

INVESTMENTS	INVESTMENTS	INVESTMENTS	INVESTMENTS	INVESTMENTS
Robert Mackey Merrill Lynch BP, CG, TS	Urbin McKeever Frost Bank FP, TS	David Nethery Merrill Lynch	Joseph Piellucci Wells Fargo Advisors, LLC	Richard Rogers RBC Wealth Management EP, FP, TS
James Madden, Jr. RBC Capital Markets FP	Mark McLeland Merrill Lynch TS	<b>Kenneth Neuner</b> <b>VSR Financial</b> <b>Services</b> <b>FP</b>	Paul Pittman Edward Jones	Charles Romig Morgan Stanley Smith Barney CG, EP, FP
Larry L. Magid Wells Fargo Advisors, LLC	Daniel McVeigh Merrill Lynch CG, EP, TS	Shawn Nicholas UBS Financial Services BP, EP, FP	Randy Pope Edward Jones	Chris Rotan Comercia Securities BP, EP, FP
Dave Malleck Raymond James Financial FP	Joseph Montesana Edward Jones	Jack Nichols WFG Investments CG, FP	Kelli Poremba Edward Jones	Ben Roth J.P. Morgan Securities CG, EP, FP
Randy Matthews Merrill Lynch	Susan Meyer Edward Jones	Richard Nierling Morgan Stanley Smith Barney	Wallace Potter MetLife Financial Services of Texas BP, EP	David Russakov Charles Schwab CG, EP, FP
Wayne Maynard Merrill Lynch CG, EP, TS	<b>Bill Miller</b> <b>Bosc</b> <b>BP, EP, FP</b>	David Noonan Morgan Stanley Smith Barney EP, FP	Jason Potts Lifetime Wealth Management EP, FP, TX	John Ryan Merrill Lynch EP, FP, TS
David McBee Wells Fargo Advisors, LLC	Shott Miller Merrill Lynch EP, TS	Scott O'Neal Wells Fargo Advisors, LLC	Adam Prewett Arrington Financial FP	Ivan Sacks Prospera Financial Services
Tommy McBride Crockett McBride & Associates BP, CG	Larry Milton Raymond James & Associates BP, EP, FP	Buddy Ozanne Probity Advisors BP, EP, FP	Lee A. Price Smith Anglin Financial EP, FP, TX	Rick Salmeron Salmeron Financial Network EP, FP
Mark McClanahan Robertson, Grieger & Thoele FP	Robert Mitchell Morgan Stanley Smith Barney EP, FP, TS	<b>Thomas Pace</b> <b>Eagle Strategies</b> <b>EP</b>	George Price Edward Jones	Karee H. Sampson Wells Fargo Advisors, LLC
Harold McCoy Morgan Stanley Smith Barney FP	John Mockovciak III R. W. Baird FP	Jim Parker Greenman Parker Connally Greenman	Teresa Quinn Lee Financial Corporation CG	Jeffrey Sandene Sandene Strategies BP, EP, FP
Wayne McCullough Deutsche Bank Alex Brown EP, FP, TS	Francis Moise Prospera Financial Services	Brad Perry Ackley Financial Group BP, EP, FP	Gerald Ray Gerald L. Ray & Associates TX	<b>Barbara Saunders</b> <b>Saunders &amp;</b> <b>Associates</b> <b>FP, EP</b>
Justin McDonald Morgan Stanley Smith Barney EP, FP	Rex Moxely Purshe Kaplan Sterling Investments EP, FP, TX	Bill Perryman Perryman Financial Advisory FP, EP, TX	John Reed Merrill Lynch/The Winter Taylor Group	Jim Schooler Cromer & Schooler Financial Advisors BP, EP, FP
Richard "Mac" McDowell McDowell Capital Management of Raymond James EP, FP, TS	Stephen Mullican Edward Jones	Michael Peschel Wells Fargo Advisors, LLC	Don Reynolds Raymond James & Associates FP	Charles Schuessel, Jr. Wells Fargo Advisors, LLC
Sam McGowan McGowan & Associates Investment Center CG, EP, FP	Hank Mulvihill Mulvihill Asset Management BP, EP, FP	Gordon Peterson International Research & Asset Management CG, EP, FP	<b>Jamie Richardson</b> <b>Raymond James</b> <b>Financial</b> <b>BP, EP, FP</b>	Myron Schwitzer Morgan Stanley Smith Barney CG, EP, FP
Tom McIntire Carter Financial Management FP	John Muse LPL Financial FP	Wayne Peterson Family Busines Office FP, EP, BP	<b>Elizabeth Rivera</b> <b>Southwest Securities</b>	Zachary Scott Raymond James & Associates BP, EP, FP
	Steven Nagel Merrill Lynch CG, EP, FP	Randall Pickett Oppenheimer & Company EP, FP, TS	<b>Mark Robinson</b> <b>Watchman Group</b> <b>FP</b>	
	Steve Namy Investment Professionals BP, EP, FP		Richard O. Roever, Jr. UBS Financial Services EP	



## INDEX OF WEALTH MANAGERS

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INVESTMENTS	INVESTMENTS	INVESTMENTS	TAXATION	TAXATION
Amber Seale Edward Jones	Ryan Tevis Tevis Hobbs Wealth Management EP,FP,TS	<b>Casey Waits</b> <b>Prospera Financial Services</b> FP	Carol Appleton Holmes & Petrick CPA's BP	Angela Miller Angela Miller CPA
Brad Sewell Edward Jones	Ronald Thomas Merrill Lynch CG,EP,TS	<b>Robert Walker</b> <b>Morgan Stanley</b> <b>Smith Barney</b> BP,EP,FP	Joseph D. Brophy Joseph D. Brophy CPA FP	Thomas Montgomery Montgomery Coscia Greilich BP,FP
James R. Shelton LPL Financial EP, FP	Paul Thompson Singal Securities BP,EP,FP	Gary Walsh Luther King Capital Investments	Homer Brown Smith, Jackson, Boyer & Bovard BP,EP	Daniel P. Novakov Greenberg Traurig CG,EP
<b>Keith Shelton</b> <b>Wells Fargo Advisors, LLC</b>	Becky Thompson Benchmark Financial Services FP	<b>Peter G. Warren</b> <b>Wells Fargo Advisors, LLC</b>	Jon Chester Sterling's Bookkeeping & Tax Service BP,TS	<b>Nick</b> <b>Papacharalambous</b> <b>Nicholas P.</b> <b>Papacharalambous</b> <b>CPA</b> BP,EP,FP
<b>Christine Sheppard</b> <b>Frost Nantional Bank</b> EP, FP, TS	Darrell Todd Southwest Securities BP,EP,FP	<b>Paul Welch</b> <b>Fiduciary Financial</b> <b>Services of the</b> <b>Southwest</b> EP	Gary Dunlap Pineider Ford & Associates BP,EP	Carrine Reilly Larson Allen BP,EP
<b>Steven Shi</b> <b>Wells Fargo</b> <b>Investments, LLC</b>	Clayton Tuggle Tuggle & Russell EP,FP,TX	<b>Robert Whittenburg</b> <b>Wells Fargo Advisors, LLC</b>	<b>Lori A. Eads</b> <b>BGEMD Capital</b> <b>Advisors</b> BP,FP,IV	Jeffrey Whittaker R. Jeffrey Whittaker CPA BP,FP,IV
Brian Sieling Merrill Lynch EP,TS	Shelley S. Turner Wells Fargo Advisors, LLC	Jon G. Williams Wells Fargo Advisors, LLC	Ted Ellis Ted R. Ellis BP	Gail Wills Gail D. Wills CPA
Charles Sild Milkie & Ferguson Investments IV	Peggy Tyler Wells Fargo Advisors, LLC	James W. Williams IV Edward Jones	Bill Gandy Gandy Calverley & Company BP,EP,FP	
Frank Sloan Sloan Wealth Management	<b>Gail Utter</b> <b>Wells Fargo Advisors, LLC</b>	Scott Wood True North Advisors CG,EP,FP	Donald B. Harris Beaird Harris Wealth Management CG,EP,IV	
Gunnar Soland SWS Financial Services FP	Ryan Valenzuela Edward Jones	<b>Janet Woods</b> <b>UBS Financial</b> <b>Services</b> BP,FP,TS	Gary Hatfield Hatfield & Hatfield BP	
David Somerville Wells Fargo Advisors, LLC	Michael Vallee Morgan Keegan & Company EP	Peggy Zarro Wells Fargo Advisors, LLC	Walter Hatter Hatter & Associates EP	
Christopher Sorrow Probity Advisors	<b>John Rush Vann</b> <b>Vann Investment</b> <b>Management</b> CG,IV,TS	<b>Bret Zimmerman</b> <b>Zimmerman</b> <b>Investment Money</b> <b>Management</b> EP,FP,TS	Barry Johnson Johnson & Company EP,FP,IV	
<b>Christi Staib</b> <b>Staib Wealth</b> <b>Management</b> FP	<b>Craig VanWinkle</b> <b>Wells Fargo Advisors, LLC</b>	Miles Zitmore Wells Fargo Advisors, LLC	Lois Kapp Kapp & Miller BP,EP,TS	
Dale Stamps Spar Investment Counsel	Jon Vilmar Merrill Lynch BP,EP,FP	<b>TAXATION</b>	<b>Steven Miller</b> <b>Steven E. Miller CPA</b> BP	
Michael Steinberg Wells Fargo Advisors, LLC	Todd Wagner Comerica Bank EP,FP,TS	Paula Allgood Beaird Harris Wealth Management BP,FP,IV	Ronald Miller Miller & Associates EP	
Joel Tannebaum Morgan Stanley Smith Barney EP				

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## THE DICKEY, WRIGHT, SWEENEY GROUP AT MORGAN STANLEY SMITH BARNEY



### Our Team Believes You Are Entitled to an Exclusive Financial Experience

- Group was formed in 1993
- Clients are managed from a holistic and consultative basis
- Practice is exclusive and chooses to be “all things” to a select number of families

*Areas of Focus: Comprehensive Wealth Planning, Trust Advisory, Estate Planning, Family Governance and Dynamics, Lending Services*

We are a team of Private Wealth Advisors with many years of investment management experience. We focus on customizing complex financial strategies for affluent families, business owners and corporate executives. We believe every client has specific objectives, and we align our experience and resources to achieve their financial goals. Our process emphasizes comprehensive financial planning to help our clients deal with both market volatility and emotional volatility. We manage our clients from a holistic and

consultative basis while using Morgan Stanley's robust capital markets platform for actionable ideas. Both Kevin T. Dickey, 2010 FIVE STAR Wealth Manager, and Larry K. Wright were nominated by *The Dallas Business Journal* as one of the Top 50 Advisors in the Dallas Fort Worth area in 2008. In 2009, Kevin was included in *Barron's* “Best of the Best” Financial Advisors and named to *Barron's* List of Top 1,000 Financial Advisors; ranked 37 in the state of Texas.

### MorganStanley SmithBarney

5001 Spring Valley Road, Suite 900 W • Dallas, TX 75244

Phone: (972) 774-6759

## EVOS GROUP



Left to right: Seated: Two-Year Winners David Patterson, Curtis Harris and DeLene Gilbert  
Standing: Marilyn Harris, Randy Martin, Matt Sanders, and Kathryn Boutte

Two-Year  
Winner

### “Where Financial Planning Meets the Future”

- Customized individual and corporate financial planning
- Portfolio management — individual and retirement, professional portfolio managers
- Estate planning, wealth transfer, retirement income planning, trust and gifting strategies

*Areas of Focus: Financial Planning, Wealth Management and Asset Management*

*Designations: CFP®, CLU, ChFC, CLTC*

In establishing EVOS, LLC, the founding members brought with them more than 75 years of experience in large firms, handling complex financial matters for a diverse group of clients. The rapidly evolving financial services sector created a concern about their ability to deliver high quality, independent and fee-sensitive services. They grew to believe that their success was predicated upon their ability to remain independent in their approach to solutions and sensitive to the changing needs and emotions

of their client base. EVOS, LLC is a company that believes success for their clients is built around three primary components: financial planning, wealth preservation and asset management. Each component plays an important part in the interlocking puzzle that is the relationship of the client and his or her family to their financial security. With new, sophisticated financial planning software, opportunities are realized, researched and explained, thus educating clients and encouraging their active involvement.



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## FCM INVESTMENTS TEAM



Left to right: Back row: Michael Wu, Scott Miller  
Front row: David Lewis and Two-Year Winner Monty Jones

### T. Montgomery Jones, Managing Partner

- Ranked No. 2 global manager for 2007-2009 by Nelson's World's Best Money Managers
- Emphasizing quality growth stocks on a global basis
- Tax efficiency in separate accounts for private investors

*Area of Focus: Portfolio management for private investors*

*Designations: MBA, CFA*

**F**CM Investments takes a long-term approach to relationships and investing. Since our founding in 1987, we have focused exclusively on the prudent stewardship of our clients' portfolios. Our clients are private investors — individuals, families, their trusts and private foundations —

more than three-quarters of whom have relied on FCM to manage their securities for more than 10 years. Our chief goals are the preservation and growth of capital through all investment environments.

### FCM Investments

2200 Ross Avenue, Suite 4600 West • Dallas, TX 75201

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[www.fcminvest.com](http://www.fcminvest.com)

SEC licensed investment advisor.



## FINANCIAL PLANNING ASSOCIATES



Left to right: Shelley Williams, Amber Shelley, FIVE STAR Wealth Managers Darryl Meyerovitz and Dani Meyerovitz, Jay Fullerton and Jarrett Daniel

### Trusted With Our Clients' Wealth for More Than 40 Years

- Planned approach to risk management and accomplishing our clients' goals
- Intense level of attention to client service and satisfaction
- Complete customized solutions for retirement income and portfolio risk management

*Areas of Focus: Wealth Accumulation, Portfolio Preservation, Predictable Income Strategies\*\*, Estate Planning*

*Designations: Life and Qualifying TOT/COT Member-MDRT, CERTIFIED FINANCIAL PLANNER™*

The monitoring and oversight of our firm's many specialized strategies is led by a dedicated team with combined financial service experience of more than 65 years and is specifically designed to conform with our clients' stated requirements. A fully accessible, highly responsive and innovative approach

allows for the potential achievement of defined client financial goals and the desired long-term financial independence. As trusted advisors, we believe that our extensive knowledge and commitment to a client experience that exceeds expectations is an essential component of ongoing client satisfaction.



FINANCIAL PLANNING ASSOCIATES

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\*\*Investors should be aware that investing based upon strategies or models does not assure a profit or guarantee against loss.

With any investment vehicle, past performance is not a guarantee of future results.



## FROST FINANCIAL MANAGEMENT GROUP



Left to right: Mace McCain, FIVE STAR Wealth Manager Chris Sheppard, Sue Turnage, Todd Breeding, Linda Baker and John Hind

### Discipline. Patience. Prudence. Principles that Have Guided Us in Helping Our Clients Grow Their Wealth Since 1868.

- 142-year history of safety and soundness
- First U.S. banking company to decline TARP funds
- Strong track record of guiding clients through all economic cycles

*Areas of Focus: Investments, Trust Services, Estate Planning, Financial Planning, Brokerage Services*

*Designations: CERTIFIED FINANCIAL PLANNERS™, Chartered Financial Analysts, Certified Trust Financial Advisors, CPAs, Attorneys*

**W**e understand that creating the right portfolio is not about predicting the future; it is about preparing for it. This requires careful listening and attention to what matters most to you. At Frost, you are significant. You need someone to help you along the way, someone who can relate,

anticipate and simplify. And this is exactly what you will get. Because of our team approach, you have access to our considerable collective expertise and experience. We don't just build portfolios; we build long-term relationships.



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## ICMC



Left to right: Mark C. Holland, MaryJane Adams, FIVE STAR Wealth Manager Ralph B. Heffelman

### Custom Investment Strategies and Alternative Investments

- Established in 1974
- Multi-family office
- Comprehensive wealth management services

*Designations: Registered Investment Advisor, MBA – Investments, CERTIFIED FINANCIAL PLANNER™*

Since 1974, ICMC has helped successful business owners, professionals and corporate executives protect and grow their wealth in a disciplined fashion. We work with families across generations to ensure the efficient transfer of wealth. In addition to comprehensive financial planning, ICMC provides investment management services utilizing the Nobel Prize winning Modern Portfolio Theory and up to 10 distinct asset classes (five traditional — U.S. Equity, International Equity, U.S. Fixed Income, International Fixed

Income and Cash Equivalents, as well as five alternative — Real Estate, Hedge Fund, Private Equity, Natural Resource and High-Yield). The combination of Modern Portfolio Theory and a broad array of asset classes enables us to design custom investment portfolios that have materially less risk than using stocks and bonds alone. ICMC is independent and fee-only, which allows us to access virtually any investment on behalf of our clients without the biases of large brokerage firms.



#### International Capital Management Corporation

12001 North Central Expressway, Suite 1000 • Dallas, TX 75243

Office: (972) 387-0660

*wealth@icmc.com • www.icmc.com*



## ANITA KELLEY



### Guiding Families Through Financial Complexities Unique to Their Life's Journey

- Comprehensive wealth planning
- Tax strategy
- Wealth management

*Areas of Focus: Cash Flow and Tax Strategy; Risk Management, Estate and Philanthropic Planning*

*Designations: MBA, Southern Methodist University; Registered Investment Advisor*

Significant wealth presents immense responsibility — how does a family effectively balance current cash flow and tax considerations, manage risk, create a cohesive estate plan and steward resources in a manner which truly reflects both its vision and values? These are issues which constantly confront today's high net worth clients.

We work with families to help them advance their objectives with a solid array of solutions — from wealth planning, to lending and investment implementation, to trust and philanthropic services. We take a stand for our clients, and are committed to helping them both navigate challenges and pursue success with equal fervor.

#### UBS Financial Services Inc.

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## LD LOWE SR WEALTH ADVISORY GROUP



Left to right: Jim Andrews, Ethan Bonar, FIVE STAR Wealth Manager Lloyd Lowe Sr. and Shane Brewer  
Seated: Cinda Lowe, Hoot and Molly McCarthy

### Building a Bridge to Your Dreams

- Partnering with you to fulfill your financial desires
- Reaching your goals by utilizing our training, tenure and track record
- Making your dreams a reality

*Area of Focus: Wealth Advisory*

*Designations: MBA in Financial Planning, Registered Financial Consultant, Certified Senior Advisor, Certified Retirement Counsel*

**A**t LD Lowe, “happily ever after” is where everything begins. You see, we create investment strategies based on your goals, not ours. And there’s no cookie-cutter approach; we do it with plans that are as independent and unique as you are. Whether it is having more time with those you love, retreating to

that home in the islands or having the time to master any hobby you choose, your definition of wealth and freedom is as important to us as it is to you. The 10 Most Dependable Wealth Managers of Texas in 2006, 2007 and 2008. The Top Five Super Wealth Managers of Texas in 2006.



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LD Lowe Sr. Financial Advisory.  
A Registered Investment Advisor.

## QUEST CAPITAL MANAGEMENT



### We Believe in the Power of Planning to Help You Achieve Both Your Financial Goals and Life's Dreams

- Personalized, proactive financial solutions and client service
- Comprehensive approach to wealth management and financial planning
- Fee-based objective advice, along with professional implementation and management of client plans

*Specializations: Wealth Accumulation, Financial Independence Planning, Wealth Distribution, Business Planning and Investment Management*

Quest Capital Management, Inc. oversees more than \$1 billion in client wealth and directly manages \$700 million at Raymond James Financial Services. With a history of more than 22 years working with clients nationwide, we have grown to become one of the leading independent Raymond James wealth management branches.

Our Plan for Life™ is a comprehensive process beginning with a client's values and dreams and integrating the realities of his or her financial

life. We listen closely, then create a realistic plan that outlines investment strategies, action steps, outside resources and any additional factors it will take to help our clients reach their goals. Quest can provide clients and their families with financial freedom by offering ongoing analysis, education and guidance throughout the different phases of their lives. Our commitment to implementation can help individuals achieve their personal goals and objectives.



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[www.QuestAdvisor.com](http://www.QuestAdvisor.com)

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## CRAIG C. ROGERS



Left to right: Back row: Bill Rush, Bill Harrell, Kevin Schillo, Matt Lankford  
Front row: Dale C. Rogers, FIVE STAR Wealth Manager Craig C. Rogers

### Providing Insight, Clarity and Expertise in a Collaborative Approach to Wealth Management

- Expertise: Access to the brightest minds in academia and finance
- Partnership: Mutually beneficial relationships where values are aligned
- Discipline: Processes designed to specifically achieve the goals of our clients

*Areas of Focus: We provide wealth enhancement, wealth protection and wealth transfer solutions to affluent clients*

*Designations: President, COO*

It is a testament to the entire organization and culture at Rogers Capital Management, Inc. that I have been recognized for the second consecutive year as a FIVE STAR Wealth Manager. Through our knowledgeable staff, talented professionals and world-class processes, we have made a tremendous difference in the lives of our clients by helping them make smart decisions with their money.

Through a truly collaborative approach, our expertise and discipline ensure

that intelligent decisions are made regardless of market conditions, while our processes keep us abreast of our clients' changing lives. This is illustrated through our investment plans, which reflect the ever-changing landscape of our clients' families and also the financial markets.

By working only with clients whose values are aligned with ours, and whose lives we can impact, we are able to focus our time and resources on each relationship. The results speak for themselves, thanks to our satisfied clients!

#### Rogers Capital Management, Inc.

1330 Summit Avenue • Fort Worth, TX 76102  
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Rogers Capital Management, Inc. is an independent fee-only Registered Investment Advisor.





## GAIL UTTER



## Building Financial Confidence With Insight, Education and Stellar Service

- Barron's Top 1000 Advisors / Barron's Top 100 Women Financial Advisors
- Community leadership and service demonstrates an investment in our clients
- We know and respect our clients; we are neighbors, friends and volunteers together

*Areas of Focus: Tax Exempt/Taxable Fixed Income, Independent Money Managers, Asset Advisory Portfolios and Alternative Investments*  
*Designations: Managing Director – Investments, MBA*

It is a pleasure doing business with our customers and it shows. We value the uniqueness of each client, understanding that wealth is only partly about money. We engage people in many areas of their lives, from Earth Day projects to theater productions. We encourage results that fit well, believing that informed clients are good decision-makers. Our financial

communications are entertaining, our style inviting. We practice listening well. Our services are designed to offer better benefits and results. More importantly, our team makes friends and keeps them. It's not so much a business strategy as it is a way of life. Our customers like it. And so do we.



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The rating may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, of the experiences of the adviser's clients. The rating is not indicative of the adviser's future performance. See the announcement page for more information on how the FIVE STAR Wealth Managers are chosen. Wells Fargo Advisors, LLC, Member SIPC, is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company. [CAR 0610-3106 6/18/10] Investments and insurance products:

NOT FDIC-Insured	NO Bank Guarantee	MAY Lose Value
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## ROBERT R. WHITTENBURG



Honesty • Integrity • Discipline

*Area of Focus: Portfolio Management Strategies*

*Title: Associate Vice President – Investment Officer*

**M**y desire is to help my clients achieve their goals through sound planning and time-tested risk management techniques.



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The rating may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, of the experiences of the adviser's clients. The rating is not indicative of the adviser's future performance. See the announcement page for more information on how the FIVE STAR Wealth Managers are chosen. Wells Fargo Advisors, LLC, Member SIPC, is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company. [CAR 0510-1451]



Left to right: John Garvey, Jr., John Garvey,  
FIVE STAR Wealth Manager Lori Eads and Lisa Windlinger

## BLAND, GARVEY, EADS, MEDLOCK + DEPPE TEAM

### Making the Most of Your Wealth

- Tax and estate planning
- Wealth management and investment advisory
- Retirement plan design and implementation

*Areas of Focus: Wealth Management, Tax, Accounting, Audit and Consulting*

*Designations: Registered Investment Advisors, Certified Public Accountants*

Helping clients make informed decisions is what Bland, Garvey, Eads, Medlock + Deppe, P.C. has dedicated itself to for 43 years. With your goals in mind, we are committed to helping you achieve your financial plan. Being a Certified Public Accounting firm, the tax ramifications of every investment decision, from charitable giving to transfer of wealth, are considered. As a result, estate and tax planning are an integral part of the plan, not an afterthought. To learn more, call (972) 231-2503.



1202 Richardson Drive, Suite 203 • Richardson, TX 75080-4698

Phone: (972) 231-2503

[info@taxsmart.com](mailto:info@taxsmart.com) • [www.taxsmart.com](http://www.taxsmart.com)

Bland, Garvey, Eads, Medlock + Deppe, P.C. is a Certified Public Accounting firm licensed to practice in Texas.

## CARTER FINANCIAL MANAGEMENT GROUP

### Solutions for This Generation and the Next

- Advanced strategies to accumulate, preserve and transfer wealth
- Global resources delivered with exceptional, personal service
- Three decades of experience and successful client relationships

*Area of Focus: Comprehensive personal financial planning*

*Designations: CERTIFIED FINANCIAL PLANNER™ and CFA, ChFC, CLU®*

As one of the premier independent financial planning firms in the nation, Dallas-based Carter Financial Management serves multigenerational clients and their advisors nationwide. Since 1976, the firm has produced more than 3,000 comprehensive financial plans and has more than \$800 million in assets under management. Known for its outstanding team of planners, unique client service and vast knowledge of the profession, Carter maintains a highly-credentialed staff of 25, including 15 CERTIFIED FINANCIAL PLANNERS™. The firm's principals, Bill Carter, Kathleen Muldoon and Robert Berg, have achieved recognition within the financial planning profession as well as recognition in the national media.

### Carter Financial Management, Inc.

12222 Merit Drive, Suite 1800 • Dallas, TX 75251

Phone: (214) 363-4200

[www.cascfm.com](http://www.cascfm.com)

Financial Planning Services offered through Carter Advisory Services, a Registered Investment Advisor. Securities and Investment Advisory Services offered through Raymond James Financial Services, Inc., Member FINRA/SIPC. Carter Financial Management is an independent firm.



Left to right: FIVE STAR Wealth Managers Robert Berg,  
Bill Carter and Kathleen Muldoon



Left to right: Lorie Gebbie,  
FIVE STAR Wealth Manager Mischelle Copeland,  
Pamela Gothard

## COPELAND GEBBIE GOTHARD

### Wealth Management Group

- Mischelle Copeland, First Vice President – Investments
- Lorie Gebbie, First Vice President – Investments
- Pamela Gothard, First Vice President – Investments

*Providing Guidance to Preserve, Protect and Transfer Family Wealth*

We understand your greatest assets are your family and loved ones. We are committed to helping our clients visualize their goals and dreams through our envision process. We will work with you to create a customized comprehensive investment plan, without undue compromise to your current lifestyle or overexposure to risk. We will equip you with ongoing guidance and provide direction while monitoring your goals and dreams every step of the way. With more than 90 years of combined experience, we have assisted our clients through challenging markets along with focusing on theirs and their families' life-changing events.

### Copeland Gebbie Gothard Wealth Management Group of Wells Fargo Advisors, LLC

8115 Preston Road, Suite 300 • Dallas, TX 75225

201 Main Street, Suite. 1700 • Ft. Worth, TX 76102

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## STEPHEN A. ENGRO

### A Multi-Strategy Process for Changing Markets

- Serving clients with integrity since 1986
- Independent and objective to offer clients a range of opportunities
- Disciplined investment process to reach goals

*Areas of Focus: Wealth Management, Retirement Planning, Investment Advisory*

*Designations: M.B.A., Duke University; B.A., University of Notre Dame*

Founded in 1995, Beacon Financial Group is an Independent Wealth Management firm managing more than \$200 million in client assets

Led by its President, Stephen A. Engro, and backed by an experienced team of professionals, Beacon offers clients comprehensive wealth management solutions designed to meet the challenges of today's markets.

Beacon's process is based on four principals of wealth management: diversification, risk management, efficiency and avoiding common investor mistakes.

### Beacon Financial Group

17300 Preston Road, Suite 120 • Dallas, TX 75252

Phone: (972) 726-9888

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Securities offered through NFP Securities, Inc. a Broker/Dealer, Member FINRA/SIPC and a Federally Registered Investment Advisor. Investment Advisory Services offered through Beacon Financial Group a Registered Investment Advisor. Beacon Financial Group is an affiliate of NFP Securities, Inc. and a subsidiary of National Financial Partners Corp., the parent company of NFP Securities, Inc.







## THE GARDNER GROUP

### The Game Plan Makes the Difference

- Comprehensive wealth management
  - Charitable and estate planning
  - IRA and income distribution planning
- Areas of Focus: Financial planning, investments and risk management*  
*Designations: Gregory D. Gardner, CFP®; Registered Investment Advisor*

The Gardner Group manages the financial affairs for a select group of families. We are a comprehensive wealth management firm that specializes in providing our clients a financial game plan to help maintain their lifestyle into retirement. We believe that our clients' success is dependent on utilizing tactical asset allocation, diversification, discipline and, most importantly, a comprehensive financial game plan. Because this area is so complicated, we have assembled a team of experts to deliver customized financial advice for their family.



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## JOSEPH J. GIANGIULIO

### Trust, Experience and Service

- Retirement planning
- Asset management
- Comprehensive financial planning

*Designations: CPA/PFS, CFP®*

Since 1982, Joseph Giangiulio has been providing financial services to corporate executives, business owners, professionals and retirees. As a Certified Public Accountant and a CERTIFIED FINANCIAL PLANNER™, he offers a unique approach to growing and preserving assets in the most tax-efficient manner. With more than 28 years of experience and technical knowledge in financial services, shouldn't you be a client of Joseph J. Giangiulio, CPA/PFS, CFP®?

*Joseph J. Giangiulio, CPA/PFS, CFP®*  
*NettWorth Financial Group\**

3030 LBJ Freeway., Suite 1460 – LB 16 • Dallas, TX 75234  
 Office: (972) 960-9200

[joe@jgiangiulio.com](mailto:joe@jgiangiulio.com) • [www.joegonline.com](http://www.joegonline.com)

Securities and investment advisory services offered through FSC Securities Corporation, Member FINRA/SIPC and a registered investment adviser. NettWorth Financial Group\* is not affiliated with FSC Securities Corporation or registered as a broker-dealer or investment adviser.

\*This is not a CPA Firm.





Left to right: Carolyn Martin, Nita Bridwell, FIVE STAR Wealth Manager Stephanie Groschup

## STEPHANIE GROSCHUP

NITA BRIDWELL • CAROLYN MARTIN

- Financial Advisors
  - Comprehensive investment management and planning
  - Customized strategies, Wealth transfer strategies
- Groschup – CRPC®, Vice President – Investments; Bridwell – CRPC®, CFP®, Asst. Vice President – Investments; Martin – MBA, Asst. Vice President – Investments*

These principles are the cornerstone of our practice.

*Intelligent Investing — Careful Planning — Thoughtful Advice — Strong Commitment to Client Service.*

We are focused on developing customized strategies to address clients' risks, goals and objectives.



5080 Spectrum Drive, Suite 500 E • Addison, TX 75001  
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[stephanie.groschup@wellsfargo.com](mailto:stephanie.groschup@wellsfargo.com)

*The rating may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, of the experiences of the adviser's clients. The rating is not indicative of the adviser's future performance. See the announcement page for more information on how the FIVE STAR Wealth Managers are chosen. Wells Fargo Advisors, LLC, Member SIPC, is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company. [CAR 0610-1913] Investments and insurance products:*

NOT FDIC-Insured	NO Bank Guarantee	MAY Lose Value
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## BRIAN J. HALL

### Death and Taxes Are Inevitable

- Specialized to address complex estate planning issues
- Trust and estate advice with focus on personal service
- Estate/Gift Taxes are coming back — Are you ready?

*Areas of Focus: Estate/Gift Tax Planning with Wills, Trusts and Probate*

Barnett-McNair-Hall, LLP, a 50-year-old practice, has been helping clients transfer their wealth for four generations. As a managing partner and trusted attorney, Brian will ensure that your estate plan will be accomplished correctly.

Brian has been admitted to the Supreme Court of Texas, U.S. Supreme Court and U.S. Tax Court. He previously served with the IRS Estate and Gift Tax Division and is a member of the Dallas Bar Sections for Tax, Probate and Estate Planning; the ABA Section of Probate and Estate Planning; and Who's Who in American Attorneys.

Every family needs the experience and personal service that Mr. Hall provides for their tax planning, charitable giving, trust and will planning, guardianship planning and administration of trust and estates. With a complimentary consultation, Brian is worth your time.

### Barnett-McNair-Hall, LLP

8350 North Central Expressway, Suite 1220 • Dallas, TX 75206

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Barnett-McNair-Hall, LLP is an Estate/Gift Tax law firm, providing advice for personal and business estate planning.





Left to right: FIVE STAR Wealth Managers Bill Saplicki and Woody Levinson

## LS WEALTH STRATEGIES TEAM

Results • Advice • Confidence

- Providing investment options that guard against unnecessary risk
- Income strategies designed to meet the demands of today's market
- Minimizing the loss of income due to taxation

*Areas of Focus: Tax Reduction Strategies, Comprehensive Wealth Management, Asset Protection, Insurance, Alternative Investments*

When Woody and Bill joined forces, they did so with the goal of providing clients an experience based on service and results. They have assembled a team of professionals that provides solutions and tax strategies to business owners, doctors and retirees.

"We work with our clients to develop and monitor their wealth management plan. Our role is to assist in the creation and preservation of wealth. We carefully prepare for the distribution of assets in life and at death. Our planning process analyzes four primary areas which evolve into 13 strategic Wealth Management issues, including investments, income distribution, family protection and gifting strategies. Our clients expect this thorough approach from their Wealth Manager."

### LS Wealth Strategies, LLC

14755 Preston Road, Suite 823 • Dallas, TX 75254

Phone: (972) 702-6080 • Phone: (972) 702-6081

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Securities and advisory services offered through First Allied Securities, Inc.,  
a Registered Broker Dealer, member FINRA/SIPC.

## GREGORY AND BILL MATTHEWS

Clarity • Implementation • Results

- Specialize in retirement and wealth management issues
- Construct customized solutions
- Personalized comprehensive plans for each client

*Areas of Focus: Investment and Retirement Planning – Retirees and Professionals*  
*Designations: Registered Financial Consultant, CERTIFIED FINANCIAL PLANNER™*

Our sole mission is to help you achieve financial peace of mind as we guide you through your retirement years. Beginning with your unique goals, mission, vision and values, we will develop a customized plan for you as you near retirement or have recently retired. We aim to provide you with clarity concerning your goals and needs while emphasizing progress towards your objectives. At Matthews Financial Services, we commence the process with discovery, leading to clarity concerning the issues important to you and then deliver a customized plan reflecting your desires.



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Office: (972) 242-7351

[bmatthews@nextfinancial.com](mailto:bmatthews@nextfinancial.com) • [www.matthewsfinancial.com](http://www.matthewsfinancial.com)

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Matthews Financial Services is not an affiliate of NEXT Financial Group, Inc.



Left to right: Front row: Kenneth Matthews, FIVE STAR Wealth Manager Bill Matthews  
Back row: FIVE STAR Wealth Manager Gregory Matthews, Fred Dudley





## WALTER C. "CHIP" MAY

### Integrity, Excellence and Professionalism

- Addressing your goals and visions with customized solutions
- Discovering solutions to turn your visions into reality

*Areas of Focus: Wealth Management — Wealth Protection — Legacy Distribution*  
*Titles: CFP®, ChFC®, CLU®, AEP®, MSFS*

**A**s with most things in life, the key elements to achieving your long-term financial objectives are planning and implementing solutions. None of your successes happen by accident. It's important first to determine your financial goals and then map out a strategy that will help you realize your objectives. The good news is that it's never too late to start. My goal is to retain the confidence and trust of clients through my commitment to integrity, excellence and professionalism, today and long into the future. The same serious commitment I make to my clients, I also take into my personal life as a volunteer pilot for Grace Flight of America. See [www.graceflight.org](http://www.graceflight.org).



### May Financial Solutions

777 Main Street, Suite 3300 • Fort Worth, TX 76102

Phone: (817) 878-3288 • Phone: (817) 417-7271

[chip@mayfinsolutions.com](mailto:chip@mayfinsolutions.com) • [www.mayfinsolutions.com](http://www.mayfinsolutions.com)

The overall evaluation score of a wealth manager reflects an average of all respondents and may not be representative of any one client's evaluation, nor indicative of the wealth manager's future performance. See the announcement page for more information on how the FIVE STAR Wealth Managers are chosen. Advisory services offered through Eagle Strategies LLC, a Registered Investment Adviser.

## NAVIGATION FINANCIAL GROUP

### Home of Three 2009 and 2010 FIVE STAR Wealth Mgrs.

- The experience of more than 20 financial professionals
- A full list of products and services with a passion for details
- Unbiased advice delivered with integrity and excellence

*Designations: Steven P. Hagemann, CLU; Roger Gekiere, CFP®, CFS;  
 John Wolverton, CLU, CFS, RFC; Clyde W. Wyatt III, Managing Director, OSJ*

**N**avigation Financial Group is an independent financial planning firm that represents clients, not specific companies' products. With a comprehensive approach to planning, we coordinate retirement, investment, estate tax planning and insurance strategies, both by our professional advisors and through key strategic alliances including attorneys and CPAs. Navigation's advisors are among the most experienced in the industry, possessing some of the most prestigious professional credentials and degrees. Our success has been the result of building long-term relationships with clients and providing individual, personalized service.



15770 North Dallas Parkway, Suite 650 • Dallas, TX 75248

Phone: (214) 217-5800

[www.NavigationFinancial.com](http://www.NavigationFinancial.com)

Securities offered through Securities America, Inc., Member FINRA/SIPC. Steven P. Hagemann, Roger Gekiere, John Wolverton and Clyde Wyatt, Registered Representatives. Advisory Services offered through Securities America Advisors Inc., an SEC Registered Investment Advisor. Steven P. Hagemann, Roger Gekiere, John Wolverton and Clyde Wyatt, Investment Advisor Representatives. Navigation Financial Group is not affiliated with the Securities America companies.



Left to right: Front row: Two-Year Winners  
 John Wolverton, Steven P. Hagemann  
 Back row: Clyde W. Wyatt III;  
 Two-Year Winner Roger Gekiere

Two-Year  
 Winner





Left to right: FIVE STAR Wealth Manager John Ledford, Gary Owens, Frederik Constantinesco, Robert Black

## THE QUADRANGLE GROUP AT MORGAN STANLEY SMITH BARNEY

### Knowledge, Integrity and Service

- Serving corporations, executives, retirees and individuals
- Comprehensive, customized investment management
- Innovative strategies, technology and experience

*Areas of Focus: Retirement Planning, IRAs, Corporate Stock Benefit Plans*

*Designations: Vice President, Financial Advisor, Corporate Client Group*

The Quadrangle Group at Morgan Stanley Smith Barney was founded 17 years ago to serve the needs of individuals who require simplified solutions to wealth management issues. Listening to client goals and concerns, along with implementing a customized plan are important parts of the process and evident in John Ledford being selected as FIVE STAR Wealth Manager.

Our clients have a variety of backgrounds from CEOs of Fortune 500 companies to line workers at the local plant. Our services go beyond individuals, and cover corporations and the management of stock benefit programs such as stock options, restricted stock and 10b5-1 plans.

### Morgan Stanley Smith Barney

300 Crescent Court, Suite 120 • Dallas, TX 75201  
Office: (214) 468-7215 • Toll-free: (866) 349-1480

[www.morganstanleysmithbarney.com](http://www.morganstanleysmithbarney.com)

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## JAMIE RICHARDSON

### Trusted Advice • Exceptional Service

- Comprehensive wealth management
- Independent, unbiased and objective advice
- Risk management and tax savings strategies

*Areas of Focus: Business Owners, Retirees, Executives and Physicians*

*Designation: Chartered Retirement Planning Counselor*

As a client of Jamie's, you can be sure his concern is your financial well-being. As a registered Raymond James advisor with ViewPoint Investment Group, he has the freedom to make recommendations based solely on your specific needs and provides you access to the full resources and expertise of a highly respected financial services firm.



Ramond James Financial Services, Inc.

1309 West 15<sup>th</sup> Street, Suite 400 • Plano, TX 75075

Office: (972) 398-3472

[jamie.richardson@raymondjames.com](mailto:jamie.richardson@raymondjames.com) • [www.viewpointbank.com/invest](http://www.viewpointbank.com/invest)

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Left to right: Nancy Sawicki and  
Two-Year Winner Janet L. Woods

Two-Year  
Winner

## THE WOODS SAWICKI GROUP

### Simplified Solutions for Sophisticated Investors

- Dedicated to understanding your needs and values
- Dedicated to finding creative solutions
- Dedicated to serving you

*Areas of Focus: Comprehensive collaborative wealth management strategies for clients with \$5 million or greater in assets*

Janet's career has been devoted to providing clients with the financial freedom to focus on the things that matter to them, such as building a business, leaving a legacy, pursuing philanthropic passions and educating future generations. Utilizing the worldwide resources of UBS, Janet is gifted at finding simplified solutions to complex needs. She is honored to be named a "FIVE STAR Overall Client Satisfaction Wealth Manager" based on feedback from clients, peers and industry experts.

### UBS Financial Services Inc.

5950 Sherry Lane, Suite 600 • Dallas, TX 75225

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[janet.woods@ubs.com](mailto:janet.woods@ubs.com) • [www.ubs.com/fa/janetwoods](http://www.ubs.com/fa/janetwoods)

## BRET ZIMMERMAN

### Building Trusted Relationships

- By understanding your dreams and goals
- We provide unparalleled client service
- Through independent, unbiased and timely investment advice

*Areas of Focus: Our Clients, Wealth Management and Retirement Planning*

*Designations: President, LPL Investment Advisor Representative, MBA*

We know your hopes, dreams and the well-being of your family depend on the stability and performance of your investment portfolio. With 13 years of experience, Bret specializes in understanding current market and economic trends and how they impact your portfolio and investment decisions. ZIMM was founded on the belief that the integrity of the client must always come first. That is what sets us apart. That is what builds trusted relationships.

"I am honored to be named again to this group of wealth managers and I thank my clients for their years of trust, confidence and friendship." — Bret Zimmerman



1340 North White Chapel Boulevard, Suite 110 • Southlake, TX 76092

Phone: (817) 488-9466 • Toll-free: (866) 979-9466

[bret.zimmerman@lpl.com](mailto:bret.zimmerman@lpl.com) • [www.lpl.com/zimm](http://www.lpl.com/zimm)

Securities and Advisory Services offered through LPL Financial, Member FINRA/SIPC.



Two-Year  
Winner



## WALT ANTHONY



1110 E Hwy. 114, Ste. 210  
Southlake, TX 76092  
Phone: (817) 375-4731  
wanthony@swst.com

Two-Year  
Winner

### Trusted Financial Advisor

- Values-based financial planning
- Integrity and experience
- Service and solutions

*Areas of Focus: Comprehensive financial planning and wealth management*  
*Designation: JD*

For more than 14 years, Walt has been providing comprehensive wealth and investment management to clients nationwide. His reputation is one of quiet competence and integrity. He is well respected by clients and peers alike for his high-trust relationships. What makes him successful? Walt explains simply: "Matters of wealth are my vocation ... so, I love what I do. It brings me joy to help people make smart money decisions so that they can truly live and do what's important for them and their families." He extends an earnest *thank you* for your recognition.

Southwest Securities, Inc. Member: NYSE, FINRA, SIPC.



## MICHELLE BERRY

Raymond James  
920 Whitehead Dr.  
Granbury, TX 76048  
Phone: (817) 573-9595  
www.berry-flemingfs.com

### Personalized Financial Planning

- Client-focused service
- Goal-oriented approach
- Lifelong Hood County resident

*Area of Focus: Comprehensive Financial Planning*  
*Title: CERTIFIED FINANCIAL PLANNER™*

Since 1997, I have assisted my clients in planning for their financial future. Through a comprehensive, client-focused process, I work closely with clients and their tax and legal counsel to tailor a planning strategy designed for them and their families. My commitment is to my clients by offering them an ongoing analysis of their situation and assisting them in maintaining their financial freedom in the most tax-advantageous manner possible.

Raymond James Financial Services, Inc. Member FINRA/SIPC.



## RICKY LEE BINGHAM

MetLife

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www.rickyleebingham.metlife.com

### Planning Today, Securing Tomorrow

- Holistic approach to planning
- Client-focused asset allocation and protection
- Wealth management services

*Areas of Focus: Financial Planning, Investments, Retirement Strategies*  
*Designations: CASL, ChFC, CLU, LUTCF*

One thing is certain ... the future will come whether you are financially ready or not. For more than 18 years, I have helped clients be financially ready for their future through comprehensive, personalized financial planning.

Securities products and financial planning services are offered through financial services representatives and financial planners, respectively of MetLife Securities, Inc. (MSI) (member FINRA/SIPC), a registered investment advisor. Metropolitan Life Insurance Company (MLIC), New York, NY. MSI and MLIC are MetLife companies. L0510107591(exp0611)(TX).



## MICHAEL W. BUSCH

Vogel Financial Advisors

12400 Coit Rd., Ste. 1000  
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mbusch@vfaonline.com  
www.vfaonline.com

### Independent, Unbiased, Objective Advice

- Aggie 100 Honoree
- Customized and comprehensive strategies
- Simplifying and focusing our clients' lives

*Areas of Focus: Investment Management and Financial Planning*  
*Designations: CPA, CFP®, CEBS*

Mike is proud to have been selected by his peers and recognized by *TD* magazine as one of "The Best Financial Planners in Dallas."

Mike is past Chair of the Dallas/Fort Worth Financial Planning Association, the City of Plano's Retirement Security Plan Committee, and the Alumni Association of Trinity Christian Academy. Mike also served nationally as a member of the Financial Planning Association's Board of Directors. He is frequently interviewed and has appeared in numerous publications including *The Wall Street Journal*, *The Washington Post*, *The Journal of Financial Planning* and *Consumer Reports*.





**TERRY D.  
DOYLE**

**Ameriprise**  
Financial

2425 N Central Expy., Ste. 130  
Richardson, TX 75080

Office: (214) 389-8500

Terry.D.Doyle@ampf.com

Two-Year  
Winner

### Hall of Fame Award Recipient in 2010

- Investment advice
- Retirement planning strategies
- Education, employee options

*Areas of Focus: Circle of Success Hall of Fame Award 2010, CAC Member  
Designation: CFP®*

I understand that you have a lot at stake in today's complex markets. You've worked hard over the years to build your net worth. Now, you're looking for ways to safeguard your dreams while still finding appropriate investing opportunities to help you reach them. That's where I can help. I'll start by listening to your goals and dreams for the future, and work with you to build a financial plan tailored to those goals that includes wealth protection strategies appropriate for today's market.

Brokerage, investment and financial advisory services are made available through Ameriprise Financial Services, Inc. Member FINRA and SIPC. Some products and services may not be available in all jurisdictions or to all clients. Doyle and Associates, a private wealth advisory practice of Ameriprise Financial Services, Inc.



**RUSSELL  
DUNCAN**

**Adams, Bennett & Duncan,  
Attorneys At Law**

100 E Broadway  
Gainesville, TX 76240

Office: (940) 668-2600

Fax: (940) 668-6880

rustyduncanatty@yahoo.com

Two-Year  
Winner

### Attorney

*Area of Focus: Gainesville, Texas  
Designation: Attorney*

Attorney with practice limited to trusts, wills, estate planning, powers of attorney, probates, limited partnerships, real estate and business entities. Former Cooke County Judge with Probate Jurisdiction. Former chairman of the Board of Regents of North Central Texas College.



**MARK R.  
FINLEY**

**The Finley Agency**

1117 Dayton Dr.  
Lantana, TX 76226

Toll-free: (888) 649-8772

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mark@finleyagency.com

www.finleyagency.com

### A Proud Past ... A Promise for the Future

- Conservative solutions during these volatile times
- Guaranteed rates of return
- More than 30 years of providing asset protection

*Area of Focus: Helping Clients Solve Their Financial Puzzles  
Designations: Life Member of the Million Dollar Round Table, CLU, LUTCF*

**M**ission Statement:  
The Finley Agency will develop and implement a "Macro Economic Model" that will allow our clients to reach their financial and wealth building objectives. Whatever our clients' objectives may be — income tax reduction, college funding, a secure retirement, pension planning or insurance planning — our use of the Lifetime Economic Acceleration Process™ will accomplish their objectives.



**JEAN ANN  
FISHER**

**UBS Financial Services Inc.**

301 Commerce St., Ste. 2800  
Fort Worth, TX 76102

Office: (888) 718-6811

jean.fisher@ubs.com

### Your Dreams, Your Plan, Your Secure Future

- Helping you pursue your financial goals
- Developing a strategy paired with your risk tolerance
- Implementing, measuring and monitoring your progress

*Focus: Wealth Planning, Asset and Debt Management, Retirement  
Designations: First Vice President – Investments, CRPC*

**T**hrough a combination of understanding what is important to our clients and developing a strategy to help achieve their goals, my team and I strive to develop deep and personal planning-based relationships with each person with whom we are privileged to serve. As life evolves and changes over time, so do one's financial needs. We strive to be there for our clients through the challenges of those life changes.

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## FRANCES GARDNER

### Ayres Financial Group

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[www.franniegardner.com](http://www.franniegardner.com)

### Turn Your Dreams Into Reality

- Unique planning approach
- Diversified financial services
- Customized solutions to meet your goals

*Areas of Focus: Financial Planning, Selective Benefits and Strategies*

*Designations: CFP®, CDFA, CFE*

**M**y unique life cycle planning approach assures clients that my firm will be with them throughout their lives to address changing financial needs. I pride myself on maintaining strong relationships with clients and providing them with straightforward advice and exceptional service.

Registered representative of and securities and investment advisory services offered through Hornor, Townsend & Kent, Inc. (HTK), Registered Investment Advisor, Member FINRA/SIPC. Ayres Financial Group is independent of HTK. A9JC-0512-06



## MIKE GOODRICH

### Bluffview Wealth Management, LLC

2000 McKinney Ave., Ste. 600  
Dallas, TX 75201

Phone: (214) 855-2552

[mgoodrich@bluffviewwm.com](mailto:mgoodrich@bluffviewwm.com)

### Managing Complexity; Managing Risk

- Creative estate planning and wealth transfers
- Investment management with aggregated reporting
- Life insurance selection and design

*Areas of Focus: All aspects of managing significant wealth*

*Designations: Attorney, CPA, MBA, LL.M*

**M**ike's experience in law, accounting, tax, investments, insurance and charitable planning helps him understand the many issues facing families of significant wealth. Comprehensive wealth management includes estate plan design, implementation and regular review; investment management, life insurance selection and design; and review of available asset protection strategies. It's not what you have; it's what you keep and cherish for your loved ones and charity that sets Mike apart in meeting the needs of his clients.



## CHARLES HART

### Vision Wealth Mgmt.

2701 N Dallas Pkwy., Ste. 360  
Plano, TX 75093

Phone: (972) 265-3176

Fax: (972) 265-3181

[chart@vsrfin.com](mailto:chart@vsrfin.com)

[visionwealthmanagement.com](http://visionwealthmanagement.com)

### Vision Is Seeing Things as They Will Be

- Innovative tax strategies and estate planning
- Techniques for preserving wealth across generations
- Tailored financial solutions for a changing economy

*Areas of Focus: Income, Estate and Investment Planning*

*Designations: CFP®, CPA, PFS and AIF*

**C**harles works comprehensively with his clients' wealth management plans to provide a positive impact on their families. Charles utilizes the vast resources available to him to provide this high level of service through an unbiased, open architecture system using Charles Schwab, VSR Financial Services, Fiduciary Analytics and the Heritage Institute. With Charles' 37 years of experience, he delivers this service locally in a highly personalized and unparalleled way.

Securities and Advisory Services Offered through VSR Financial Services, Inc. A Registered Investment Advisor and Member FINRA/SIPC. Vision Wealth Management is Independent of VSR.



## FREDRICK LEHMAN

### MorganStanley SmithBarney

300 Crescent Ct., Ste. 120  
Dallas, TX 75201

Phone: (214) 468-7207

[fredrick.lehman@mssb.com](mailto:fredrick.lehman@mssb.com)

### Empowering Clients with Trusted Advice

- Nonpareil service for our client families
- Long-term wealth planning and risk management
- Delivering short-term needs and long-term goals

*Areas of Focus: Portfolio construction, hedging, diversification*

*Designations: Wealth Advisor, Portfolio Manager*

**A**ccomplished families, executives and business owners deserve an advisor team that can harness exceptional global resources and deliver sophisticated strategies in a common-sense manner with unparalleled service and honesty. This is what we do for our client families.



## STEVEN MILLER

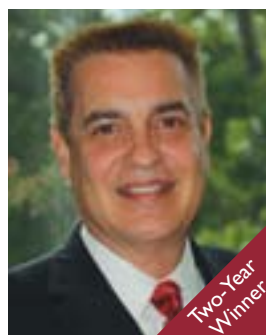
Steven E. Miller, CPA  
7616 LBJ Fwy., Ste. 600  
Dallas, TX 75251-1122  
Office: (214) 361-1131  
smtaxcpa@gmail.com  
www.smtaxcpa.com

### Plan • Execute • Succeed

- Listening to our clients is our foremost mission
- Proactive tax planning with tailor-made strategies
- Offering clear and understandable guidance

*Areas of Focus: Domestic and international tax planning and compliance*  
*Designations: Certified Public Accountant, MSA, MMEd*

Steven E. Miller, CPA PC represents clients located around the globe. Services offered include domestic and international tax planning, tax return compliance and IRS representation to high-net-worth individuals, small businesses and trusts. Steve constructs flexible long-term incentive stock option strategies to minimize AMT. He works in concert with clients' other advisors to build and execute creative and effective strategies to maximize their opportunity for success. He strives to build lasting relationships by maintaining a proprietary interest in his clients.



## JAN MOHAMED



5210 McKinney Ave., 3<sup>rd</sup> Floor  
Dallas, TX 75205

Phone: (214) 525-7500

jmhamed@confidentvision.com

### Live for Today • Plan for Tomorrow

- Leadership through fundamental processes
- Relationships that last a lifetime
- Creative income and estate tax strategies

*Areas of Focus: Estate Planning\* and Wealth Management*  
*Designations: CERTIFIED FINANCIAL PLANNER™, ChFC, CLU, AEP*

Through our process, "The Maximum Wealth Navigator," we strive to provide efficient tax, investment\* and wealth management strategies. The results are centered on our clients' goals and values in life. We believe well-thought-out tax and asset preservation strategies are critical to the creation of a lasting multigeneration legacy. The potential net result of this process is clarity as to what is most important and confidence that you are making smart choices with your money.

\*Securities and investment advisory services offered through FSC SECURITIES CORPORATION, Member FINRA/SIPC and a registered investment advisor. ConfidentVision is not affiliated with FSC SECURITIES CORPORATION or registered as a broker-dealer or investment advisor.



## THOMAS H. PACE

Eagle Strategies LLC,  
A Registered Investment Adviser  
2535 E Southlake Blvd.  
Southlake, TX 76092  
Office: (817) 749-5023  
thpace@eaglestrategies.com  
www.ThomasHPace.com

### Your Future, Our Focus

- Provides exit strategies for business owners
- Works closely with your other advisors
- Implements your strategic plan

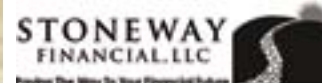
*Areas of Focus: Wealth Preservation/Estate Planning, Retirement Planning*  
*Titles: CLTC, ARPC, Financial Adviser*

What you value may be more important than what you own. To follow through on your commitments — to yourself, your family and your ideals — you need to think ahead. A well-constructed strategy can help address your specific estate planning needs including minimizing income and estate taxes, transferring wealth from one generation to the next and developing charitable gifting strategies.

Eagle Strategies LLC, A Registered Investment Adviser. The overall evaluation of a wealth manager reflects an average of all responders and may not be representative of any one client's evaluation nor indicative of wealth manager's future performance. See the announcement page for more information on how FIVE STAR Wealth Managers are chosen.



## JIM REES



6860 N Dallas Pkwy., 2<sup>nd</sup> Floor  
Plano, TX 75024

Phone: (972) 447-5170

jim@stonewayfinancial.com

### Why We Are Different ...

- Customized financial planning and advice
- Personalized investment management/portfolio allocation
- Retirement counseling/planning

*Designation: Chartered Retirement Planning Counselor<sup>SM</sup>*

Have you ever wondered who your financial advisor really works for, you or the firm? Having an in-depth understanding of your needs and goals is a fundamental process to the way I work with you. My goal is your success as an investor.

7363 Rockpoint Lane • Tyler, TX 75703  
Phone: (903) 509-1133 • [www.stonewayfinancial.com](http://www.stonewayfinancial.com)

Securities and Financial Planning offered through LPL Financial (LPL).  
Member FINRA/SIPC.



## ELIZABETH RIVERA



8333 Douglas Ave., Ste.400  
Dallas, TX 75225  
Office: (214) 987-5274  
erivera@swst.com

### Using All My Resources to Help Clients

- 27 years of experience in the financial world
- Helping clients to recognize and accomplish goals
- Dedicated to clients' concerns and needs

*Areas of Focus: Long-term investing in stocks, bonds, mutual funds, insurance*  
*Titles: Vice President, Financial Adviser*

My reputation has been built on integrity, personal responsibility and, above all, the belief that customers are top priority. Being involved in the community is also important to me. My board memberships have included Theatre Three Inc., TACA Inc., Hearts and Hands Alliance, and East Dallas Chamber, etc. I enjoy helping my clients and their families.

SOUTHWEST SECURITIES, INC. MEMBER NYSE/FINRA/SIPC.



## MARK ROBINSON

The Watchman Group  
5045 Lorimar Dr., Ste. 200  
Plano, TX 75093  
Phone: (469) 241-0122  
mark@watchmangroup.com  
www.watchmangroup.com

### Sensible Approach. Independent Advice.

- Helping people make wise financial decisions
- Customized investment portfolios
- Independent, unbiased and objective advice

*Areas of Focus: Investment Management and Retirement Planning*

For the past 16 years, Mark has worked to educate his clients about the investment and retirement plan process. Using a disciplined and high-quality approach, every portfolio is built to suit each client's goals while closely monitoring the balance between risk and return. As an independent Registered Investment Advisor with fiduciary responsibilities, loyalty and professionalism are at the core of his business.

The Watchman Group, Inc. is a fee-only SEC Registered Investment Advisor.



## BARBARA SAUNDERS



2281 Olympia Dr., Ste. 100  
Flower Mound, TX 75028  
Phone: (972) 724-9644  
barbara.saunders@pl.com

### "Stepping Clients Toward Financial Freedom"

- "One of America's Top Financial Planners"
- Recognized in *D* magazine
- Serving the Greater Dallas/Ft. Worth area since 1986

*Focus: Comprehensive Financial Planning and Investment Management*  
*Title: CERTIFIED FINANCIAL PLANNER™ practitioner*

Saunders & Associates provides comprehensive financial life planning services by interacting with clients to define their goals, objectives, values, and risk tolerance. We are able to implement unbiased investment recommendations based upon the integrity and objectivity that comes from having a written financial plan that is absent of proprietary product recommendations. For more information, go to [www.SaundersFinancialManagement.com](http://www.SaundersFinancialManagement.com).

Securities offered through LPL Financial, Member FINRA/SIPC.



## STEVEN H. SHI

Wells Fargo Investments  
1400 S Main St.  
Grapevine, TX 76051  
Phone: (817) 410-7161  
steven.h.shi@wellsfargo.com

### Team Approach to Customized Solutions

- Identify and define your financial priorities
- Deliver value in ongoing planning relationship
- Custom-tailored financial strategies

*Areas of Focus: Investments, Retirement and Estate Planning*  
*Designation: Vice President*

As senior financial advisor with Wells Fargo Investments, Steven and his team know the value of building trusting relationships with their clients, which provide the foundation for creating a strong financial future together.

Brokerage Services available through Wells Fargo Investments, LLC (member SIPC), a non-bank affiliate of Wells Fargo & Company. Investment and Insurance Products:

NOT FDIC Insured	NO Bank Guarantee	MAY Lose Value
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## JOHN RUSH VANN



306 W 7<sup>th</sup>, Ste. 1000  
Fort Worth, TX 76102

Office: (817) 338-0386

[rvann@rhodessecurities.com](mailto:rvann@rhodessecurities.com)

### Experience and Independence Do Matter

- 30 years of helping clients grow their futures
- Insight and discipline to make smart decisions
- You deal with the principal himself

*Areas of Focus: Wealth Management, Strategic Planning, Estate Planning  
Designations: Registered Principal, member SIPC, FINRA, SIFMA*

**R**ush Vann believes in the lesson taught by the great investors in America: The best possible strategy always revolves around a great defense. Smart investing is the same. You must protect your assets while looking for insightful opportunities. As an independent advisor, Rush works with his clients to help them achieve their specific financial goals, not necessarily the goals of a larger parent firm. He has access to the same quality research as the large brokerage firms and banks, yet he retains the independent, personal touch that his clients appreciate. Please visit his website at [www.vanninvestments.com](http://www.vanninvestments.com).

Securities offered through Rhodes Securities. Member SIPC/ FINRA/ SIFMA /MSRB.



## ROBERT WALKER

MorganStanley  
SmithBarney

5950 Berkshire Ln.  
Dallas, TX 75225

Phone: (214) 696-7044

[robert.walker@mssb.com](mailto:robert.walker@mssb.com)

### Integrity, Experience, Knowledge

- Retirement and financial planning
- Wealth accumulation
- Manages individual portfolios

*Title: Vice President, Financial Advisor*

**M**y mission is to assist clients in both meeting and surpassing their financial goals within a client's specific risk tolerance. By working with CPAs, attorneys and other financial professionals, I provide additional value to my clients through comprehensive and strategic planning, ultimately providing opportunities for positive net results. Remembering that one of the best methods of realizing goals is to make money, I manage portfolios with careful attention to making net returns given my clients' desired level of involvement and risk comfort.

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## PETER G. WARREN



201 Main St., Ste. 1700  
Fort Worth, TX 76102

Direct: (817) 877-9808

[peter.warren@wfadvisors.com](mailto:peter.warren@wfadvisors.com)

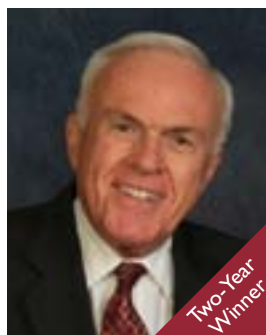
### Direct, Professional Wealth Management

- Unique risk tolerance tools and analytics
- Strategic, proactive asset allocation
- CERTIFIED FINANCIAL PLANNER™ credentials

*Title: Vice President – Investments, PIM Program Portfolio Manager*

**W**hen you are ready to be more than an account number and statistical profile to a money manager, you are ready for direct management of your financial assets: Plan for your and your family's financial future today. Focus on active, direct financial asset and generational management.

*The rating may not be representative of any one client's experience because the rating reflects an average of all, or a sample of all, of the experiences of the advisor's clients. The rating is not indicative of the advisor's future performance. See the announcement page for more information on how the FIVE STAR Wealth Managers are chosen. Wells Fargo Advisors, LLC, Member SIPC, is a registered broker-dealer and a separate non-bank affiliate of Wells Fargo & Company. [CAR 0610-1029 6/7/10]*



## PAUL WELCH



12222 Merit Dr., Ste. 920  
Dallas, TX 75251

Phone: (972) 934-9070

[Paul.Welch@ffss.net](mailto:Paul.Welch@ffss.net)

### Experienced, Independent Advice

- More than 30 years of working with clients
- Fee only; sell no products; not a broker
- Portfolios meet individual risk levels

*Areas of Focus: Investments and Estate Planning  
Designation: Chief Investment Officer*

**P**aul and his associates understand the issues facing clients today and structure portfolios to meet the ever-changing economic and geopolitical conditions in the world. Extensive communication through monthly reports and periodic meetings encompassing review of portfolio holdings with projected annual income, keeps clients informed. These meetings also elicit potential changes to detailed written investment objectives. No two investment accounts are similar.

See the announcement page for more information on how the FIVE STAR Wealth Managers are chosen.





**JERRY BODA**



3121 Cross Timbers Rd., Ste. 1  
Flower Mound, TX 75028  
Phone: (972) 355-4411  
Jerry@BodaFinancial.com  
Comprehensive Financial Planning

**S**eeking an experienced **CERTIFIED FINANCIAL PLANNER™** to help protect and grow your retirement portfolio? Jerry has helped navigate investors through many storms in 26 years. Registered Principal offering securities through SagePoint Financial, Inc. Member FINRA/SIPC, not affiliated with Boda Financial Group, Inc.



**J. KURT BROWN**



RBC Wealth Management®

1320 S University Dr., Ste. 210  
Fort Worth, TX 76107  
Office: (817) 339-8745  
www.jkurtbrown.com  
Title: First Vice President, CFP®

**K**urt has more than 25 years of experience and offers flexible financial solutions to help you meet your goals with a full range of products, services and research to help you achieve them. *Retirement, income planning, investment management, insurance and annuities*  
RBC Wealth Management, a division of RBC Capital Markets Corporation, Member NYSE/FINRA/SIPC



**HENRY BRYANT, JR.**

MetLife  
5400 LBJ Fwy., Ste. 1100  
Dallas, TX 75240  
Office: (972) 246-1800, Ext. 1801  
hbryant@metlife.com  
Areas of Focus: Financial Needs Analysis, Wealth Management, Retirement, Insurance, Annuities  
Designations: MBA, CASL®

**S**olutions to help manage your life, so you will never want to say, "I wish I knew then what I know now." Henry Bryant is a Financial Services Representative of Metropolitan Life Insurance Company (MLIC), New York, NY 10166. Securities and investment advisory services offered by MetLife Securities, Inc. (MSI) (FINRA/SIPC), a registered investment adviser. MLIC and MSI are MetLife companies. L0610113271[exp0611][TX]



**DAVID BURGESS**

Rhodes Securities  
306 W 7<sup>th</sup> St.  
Fort Worth, TX 76102  
Office: (817) 334-0706  
db@rsi2.com

Portfolio Management – Stocks, Bonds, ETFs and Mutual Funds

**W**ith more than 25 years of experience and \$75 million in client assets, David and his team provide outstanding service and individual portfolio management. A disciplined approach utilizes fundamental, technical and psychological analysis.  
Member FINRA, SIFMA, SIPC.



**RICHARD BYRNE**



5080 Spectrum Dr., Ste. 1000W  
Addison, TX 75001  
Phone: (972) 450-4373  
http://ubs.com/team/thebyrnegroup/index.html  
Title: Senior Vice President – Inv.

**T**he Byrne Group will work with you to develop a retirement strategy that can help you pursue your goals — without losing sight of today's concerns. We'll help you assess your needs and goals, and identify appropriate asset allocation strategies.



**MICHELLE CALABRO**

RBC Wealth Management  
100 Crescent Ct., Ste. 1500  
Dallas, TX 75201  
Office: (214) 775-6412  
www.rbfc.com/michelle.calabro  
Wealth accumulation, preservation and distribution  
Registered Investment Advisor  
Associate Vice President

**M**ichelle's mission is to help families make informed decisions before and during retirement, based upon their values and beliefs, to help them achieve their lifelong goals for themselves and their succeeding generations.  
RBC Wealth Management, a division of RBC Capital Markets Corporation, Member NYSE/FINRA/SIPC



**GUY CHURCH**



1401 Precinct Line Rd.  
Hurst, TX 76053  
Office: (817) 591-8000  
guy.c.church@ampf.com  
CERTIFIED FINANCIAL PLANNER™

**W**ith more than 11 years' experience, I believe financial planning is a long-term collaborative relationship. I look past the numbers to grasp a clear understanding of your goals. I'm here to help.  
Ameriprise Financial Services, Inc.  
Member FINRA and SIPC.



**CHUCK ELHOFF**

Elhoff Financial Counseling  
1000 Ballpark Way, Ste. 214  
Arlington, TX 76011  
Phone: (817) 795-1095  
chuck@celhoff-financial.com  
celhoff-financial.com  
Area of Focus: Your Financial Well-being  
Designations: CFP®, ChFC, CLU

**M**ost important, we listen first, then evaluate and recommend tailored programs to help meet your needs.  
Securities and Advisory Services offered through VSR Financial Services, Inc., a registered Investment Advisor and Member FINRA, SIPC.  
Elhoff Financial Counseling is Independent of VSR.



**ART  
FAHIM**

**Ameriprise**  
Financial

1401 Precinct Line Rd.  
Hurst, TX 76053

Phone: (817) 591-8024

[art.a.fahim@ampf.com](mailto:art.a.fahim@ampf.com)

Designations: CFP®, CHFC®, CRPC®

I have been helping people plan and reach their goals for more than 16 years. Working together, we will find investing strategies in today's uncertain market that are aligned with your financial goals.

Ameriprise Financial Services, Inc.  
Member FINRA and SIPC.



**CHRISTOPHER  
FESS**

FESS «» McGRAW

Plano, TX 75024

Phone: (972) 377-9070

[chrisfess@mail.com](mailto:chrisfess@mail.com)

[FessMcGraw.com](http://FessMcGraw.com)

Focus: Financial Consultant  
Designations: ChFC, CLU, CASL

Chris Fess has prepared more than 5,000 financial plans and educated more than 10,000 people since 1989. He is known for his passionate belief that proper financial planning can change and greatly improve people's lives.



**WAYNE  
GARRETT**

LPL Financial

Snow, Garrett & Company

Weatherford, TX 76086

Office: (817) 596-9301

[wayne@sgcompany.com](mailto:wayne@sgcompany.com)

[www.sgcowwealth.com](http://www.sgcowwealth.com)

Focus: Investment management  
Designations: CPA/PFS, CFP®

We coordinate, manage and simplify the financial and tax affairs of a selected group of families and small businesses.

Securities offered through LPL Financial, Member FINRA/SIPC.  
(Accounting Services not affiliated, nor endorsed by LPL Financial.)



**WESLEY A.  
GOENAWAIN, JR.**

Law Offices of Wesley A.

Goenawain, Jr.

17950 Preston Rd., Ste. 640

Dallas, TX 75252

Office: (972) 387-4409

[weslegal@aol.com](mailto:weslegal@aol.com)

Estate and Tax Planning, Wills, Trusts,  
Family Limited Partnerships, Probate

Wes assists clients in the areas of advanced estate and tax planning, including wills, trusts and family limited partnerships as well as probate. He assists clients with transferring family wealth between generations.



**BOB  
GUESS**

**Senior Financial Services**

4425 W Airport Frwy.  
Irving, TX 75062

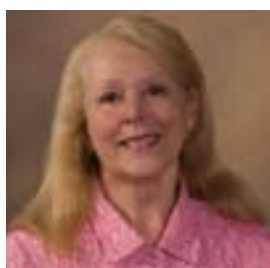
Toll-free: (866) 590-2555

[www.sfstexas.com](http://www.sfstexas.com)

Title: Investment Advisor

Senior Financial Services, with 30 years of experience, will help you protect and preserve what you've spent a lifetime accumulating.

Securities Through:  
BFT Financial Group, LLC.  
Member FINRA/MSRB/SIPC.



**LURA "BETH"  
HECKERT**

**BBVA Compass**  
Investment Solutions

11705 Preston Rd.  
Dallas, TX 75230

Phone: (214) 346-6134

Area of Focus: Investments

Beth's fast-growing client base reflects her strong commitment to helping others. Beth goes above and beyond to make a difference.

Securities products offered by BBVA Compass Investment Solutions, Inc., member FDIC and SIPC and an affiliate of Compass Bank, are NOT deposits, are NOT FDIC insured, are NOT bank guaranteed, and may LOSE value.



**DARREN C.  
HILL**

**MorganStanley  
SmithBarney**

5001 Spring Valley Rd.  
Dallas, TX 75244

Phone: (972) 774-6812

[Darren.Hill@mssb.com](mailto:Darren.Hill@mssb.com)

Title: Vice President, Financial Advisor

As a financial advisor, my goal is to provide a high level of personal service to help clients meet their financial goals. I take great pride in the advice and service I offer.

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**ROBIN  
HUTSON**

**STIFEL  
NICOLAUS**

2435 W Oak, Ste. 102  
Denton, Texas 76201

Phone: (940) 382-8645

[hutsonr@stifel.com](mailto:hutsonr@stifel.com)

Financial Advisor, AAMS, CRPC

Thank you to my clients for many years of loyalty, confidence and referrals. Using a relationship-based approach, my focus is to provide education, while concentrating on each client's specific goals and concerns.

Stifel, Nicolaus & Company,  
Incorporated, Member SIPC & NYSE.



**DERRICK KINNEY**

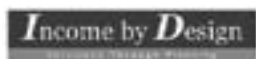
Derrick Kinney & Associates  
A financial advisory practice of  
Ameriprise Financial Services, Inc.  
700 Highlander Blvd., Ste. 335  
Arlington, TX 76015  
2435 N Central Expy., Ste. 1200  
Richardson, TX 75080  
Office: (817) 419-6001

**D**errick Kinney & Associates has been helping clients plan for their goals for 15 years. We serve successful clients throughout the D/FW metroplex.

Ameriprise Financial Services, Inc.,  
Member FINRA and SIPC.



**THOMAS P. KROEHLE**



3939 Beltline Rd. Ste. 310  
Addison, TX 75001  
Office: (972) 694-3444  
tj@incomebydesign.net

*Titles: MBA, AIF*

**W**e offer individualized solutions to high-net-worth clients.

Securities and Investment Advisory  
Services offered through NFP  
Securities, Inc. Member FINRA/SIPC  
NFP Securities, Inc. is not affiliated  
with Income by Design LP.



**JOHN KVALE**



Dallas, TX 75225  
Phone: (214) 706-4300  
www.jkfinancialinc.com  
Designations: CFA, CFP®

**F**ee-only money manager, investment manager, and financial planning expert with 20 years of experience. Co-Founder of www.Street-Cents.com, a financial website with constantly updated investment and planning ideas.



**TRAVIS JAY LADNER**



15301 Dallas Pkwy., Ste. 1040  
Addison, TX 75001  
Direct: (972) 866-7965  
travis.j.ladner@ampf.com  
Financial Advisor, CRPC

**I** believe success should be measured not just by your financial well-being, but by how confident you feel about your future. My mission is to help you plan for your financial goals through a personal relationship based on knowledgeable advice.

Ameriprise Financial Services, Inc.  
Member FINRA and SIPC.



**DANA LUNDQUIST**

Allstate Financial Services, LLC  
1200 Walnut Hill Ln.  
Las Colinas, TX 75038  
Office: (972) 255-4306

*Areas of Focus: Investments,  
Retirement Income, Life Insurance  
Designation: Certified Funds  
Specialist*

**I** thank my clients and the FIVE STAR Professional program for this unique recognition. It is reflective of the dedication, service and commitment my office strives to achieve on a daily basis while helping our clients maneuver through their lifelong financial journey.

Member FINRA, SIPC.



**BILL MILLER**



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Dallas, TX 75225  
Office: (214) 525-5045  
bill.miller@bokf.com

*Title: Sr. Financial Consultant*

**T**he past decade has been "life changing" for many individuals. With more than 23 years of experience, I work to provide individuals with solutions to meet their needs. Feel free to call anytime!

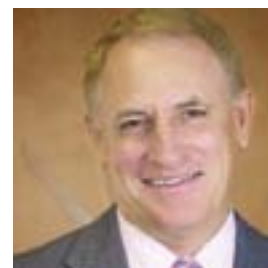


**GREG MORGAN**



2500 Dallas Parkway  
Plano, TX 75093  
Office: (972) 960-6460, Ext. 2560  
Greg@SFMG.com  
Financial Planning, Investment Mgmt.

**G**reg Morgan, CFP® is one of Bloomberg's Top Wealth Managers 2003-2010; "Who's Who" in Business and Finance 2007-2010; *Wealth Manager* magazine "Top Advisors" 2009; *D* magazine "Best Financial Planners in Dallas," three times Consumers' Research Council "America's Top Planners" 2009.



**MIKE MORRISON**

Centaurus Financial, Inc.  
2570 FM 407, Ste. 210  
Highland Village, TX 75077  
Phone: (972) 966-3110  
Cell: (469) 831-3110  
www.thecentaur.net

*Areas of Focus: Retirement  
Strategies and Retirement  
Investing*

**A**s a registered principal, Mike has been recognized as an industry top producer, with more than 23 years of experience. Mike teaches retirement planning workshops and focuses on smart investing strategies for his clients.





**KENNETH B.  
NEUNER**

VSR Financial Services, Inc.  
5930 LBJ Frwy., Ste. 401  
Dallas, TX 75240  
Phone: (972) 991-9299  
kneuner@vsrfin.com  
Areas of Focus: Financial  
Investments, Asset Allocation

**K**en provides personal investment strategies tailored to meet the individual financial goals of his clients by focusing on asset allocation and the preservation of wealth.

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